

New Jersey OT audit preparation set

Water and wastewater | Power and energy | Manufacturing | Transportation

NJ-OT-20261016-02 | Research date October 16, 2026

Planning edition. No applicability, compliance conclusion, regulatory approval, auditor appointment or personal technical/legal review is inferred.

Read with the Excel work records and companion HTML. This manual includes collection tasks, candidate criteria, procedure starters, reporting triggers, sources and unresolved questions. Complete the actual engagement criteria and facility-specific local inventory before an audit conclusion.

Use this set

This dedicated New Jersey planning set covers water and wastewater, power and energy, manufacturing, and transportation, including surface transport, ports, aviation and pipelines. It helps a sponsor, CISO, risk manager, project manager and field examiner prepare an engagement with GDF. It does not determine legal applicability, appoint GDF, certify compliance or complete an independent statutory audit.

What is covered

The set contains 74 candidate criteria records, 57 procedure starters, 42 information-gathering tasks, 7 project gates, 17 reporting-trigger records, 20 calendar starters, 42 source records and 17 unresolved questions. The criteria are a planning inventory, not a complete clause-by-clause program for every facility. Expand it from authenticated current instruments before approving an audit program.

Start with evidence, not assumptions

Identify the actual legal owner/operator, service, facility, municipality/county, systems and contracts. Water service connections and population are separate thresholds. Municipal, authority, private, public-agency, contractor and bistate status can create different duties. No selection in this page resolves those questions.

Read source limits

The Sources and Open questions sections distinguish enacted law, adopted publications, agency summaries, technical guidance and inaccessible/current-text gaps. The adopted 2026 AMI/energy amendments and selected current DEP rule copies have been reviewed. Later codification, privacy-rule status, restricted operator directives and actual permits/local instruments still require the listed resolution work. Before final conclusions, the responsible qualified reviewer must obtain them and reconcile amendments and effective dates.

GDF engagement gate

Agree the objective, intended users, report/assurance basis, criteria and period, competence and independence, deliverables, evidence handling and limitation disclosures. Any regulator-caused independent audit needs its actual trigger/direction and qualified independent engagement. This set does not claim an appointment, approval or personal technical/legal review.

Method, missing records and safe work

Safe fieldwork

Begin with records, interviews and authorized passive observation. No discovery scan, credential test, packet injection, device connection, logic/setpoint change, interlock bypass, failover, restore or process actuation is authorized by this pack. Record asset/method-specific owner, operations, safety and vendor permission, stop authority and recovery arrangements first.

How to handle missing information

Leave applicability Unresolved until facts and authority support a decision. For every missing item record the required evidence, actual responsible person, target date, secure reference and consequence for scope. Distinguish not received, inaccessible, not tested and proposed not applicable. A proposed exclusion needs a documented basis and reviewer. TBD, pending client records or an unexplained n/a is not evidence.

Workpaper quality

For each execution record the actual examiner, collector and reviewer; criterion/objective; period; population; sample/method/rationale/limits; permission; actual times with zone; observations; evidence IDs and disposition. Check actual-date chronology manually. Future actual events, review before execution or closure before required remediation/retest must be corrected or specifically explained. No automated evidence-quality, completeness or readiness score is supplied.

Extend the workbook

The twelve-sheet master uses unprotected Excel Tables and has no macros or formulas. Append a record using the table final-row Tab key or Table Design > Resize Table. Preserve columns, use unique IDs and confirm filters, formatting and any added validations include new rows. Use child execution/evidence rows for more samples. Save a version and have another person verify the change. Native Excel/printing/assistive-technology acceptance remains an open task.

Control information

Keep working records in an approved repository. Use secure evidence references instead of raw PII, credentials, SSI, secrets or exploitable configurations. Record source, collection time/zone, collector, custody, classification and integrity method; hash when appropriate. Apply actual retention/legal hold requirements and approved secure handover. No blanket privilege or universal retention period is asserted.

Refresh before use

Research date: October 16, 2026. Edition NJ-OT-20261016-02. Record the actual current criteria publication/effective dates, later amendments, jurisdiction and source retrieval limitations before each engagement. The as-of label is not proof every cited source is fully current or substantively authenticated.

Decisions that need particular care

Water threshold

WQAA uses more than 500 service connections for an owner of a public community water system. AWIA uses more than 3,300 persons for a community water system. Exactly 500 connections is not more than 500. Wastewater-only service is not automatically covered by either water-specific screen.

Sources: NJ03; F01

Prompt water reporting

The enacted 58:31-4.2(a) requires prompt qualifying incident reporting after employee awareness. The 30-day period in subsection (b) is NJCCIC causing an audit after receipt. DEP summary guidance conflicts with that distinction. Use the statutory prompt trigger for planning, escalate immediately, and obtain written clarification. Do not grant a 30-day reporting grace period.

Sources: NJ03; NJ04

Separate clocks

NJ BPU six-hour, WQAA prompt, public-agency/qualifying-contractor 72-hour, resident breach, physical/permit and federal/operator duties can coexist. They have different triggers and coverage. The longest window does not replace an earlier applicable duty.

Sources: NJ01; NJ02; NJ03; NJ05; NJ06; NJ12

Framework and audit distinctions

WQAA names CSF, CIS Controls or ISO/IEC 27000 families, not SP 800-82 alone. NERC certification overlap does not remove every NJ utility duty. NJ TCPA annual audit guidance must not be replaced by the OSHA PSM three-year cycle. Maritime assessments, plan audits, drills, exercises and penetration tests are separate duties.

Sources: NJ01; NJ03; NJ10; F03; F06; F08

Project walkthrough and gates

M01 Initiate

Role to assign: Sponsor, legal/compliance, GDF lead

Inputs: Charters, instruments, engagement and independence

Procedures: P01; P02

Exit condition: Agreed objective/assurance basis, accountable entity and scope; unresolved criteria named

Timing: Before detailed audit design

M02 Gather and resolve

Role to assign: PM and criteria owners

Inputs: Checklist and Open questions

Procedures: P01; P09; P15 to P33; P44; P45 as applicable

Exit condition: Every critical gap has an owner/evidence request; no unsourced coverage conclusion

Timing: Before criteria approval

M03 Design

Role to assign: GDF lead and operations/safety

Inputs: Approved criteria, ROE, population/sample design

Procedures: P02; P34

Exit condition: Named approvers authorize actual methods; stop authority and repository ready

Timing: Before fieldwork

M04 Execute

Role to assign: Named examiners and collectors

Inputs: Actual execution and evidence records

Procedures: P03 to P57 as individually authorized

Exit condition: Contemporaneous observations, attribution, limits and evidence quality

Timing: Within approved window

M05 Review

Role to assign: Actual reviewer, legal/criteria specialists

Inputs: Complete workpapers and facts

Procedures: P34; P35; P43

Exit condition: Criterion/condition/evidence/implication reconciled; missing/TBD/future dates rejected

Timing: Before draft report

M06 Correct and retest

Role to assign: Control owner, authorized retester, closure approver

Inputs: Remediation and retest evidence

Procedures: P35

Exit condition: Chronological actual actions; remaining risk/mandatory duty distinguished

Timing: Agreed dates, not invented legal deadlines

M07 Handover

Role to assign: Sponsor, GDF lead and signatories

Inputs: Report, limitations, filing support, receipt

Procedures: P14; P18; P35

Exit condition: No unsupported compliance assertion; open matters and recurring calendar assigned

Timing: Before engagement close

Information-gathering checklist

Every task starts Not provided. Record actual owner, planned target, secure reference and next action in Gathering. Located, reviewed and accepted are different states.

G01 Legal owner, operator, charter and affiliate/contract boundaries

Sector: All | Gate: Scope-critical | Procedures: P01

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G02 Each facility, county, municipality, permit/registration/PWS ID

Sector: All | Gate: Scope-critical | Procedures: P01; P33

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G03 BPU jurisdiction decision, operative orders and current rule text

Sector: Utilities | Gate: Criteria-critical | Procedures: P01

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G04 Community classification, dated service connections and population as separate records

Sector: Water | Gate: Scope-critical | Procedures: P15

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G05 Chosen framework/version/final publication date and revision/submission trail

Sector: Water | Gate: Criteria-critical | Procedures: P16

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G06 NJCCIC membership, program/annual filing receipts and insurance review

Sector: Water | Gate: Evidence-required | Procedures: P17

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G07 Full NJPDES permit/modifications and current reporting categories

Sector: Wastewater | Gate: Criteria-critical | Procedures: P20

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G08 NERC registration/functions, categorization and separate control-center scope

Sector: Power | Gate: Criteria-critical | Procedures: P21

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G09 Chemical/SDS inventory, threshold calculations, registration, TCPA/PSM/RMP criteria

Sector: Manufacturing | Gate: Criteria-critical | Procedures: P23

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G10 Actual mode/owner/jurisdiction and current authorized directives/programs

Sector: Transportation | Gate: Criteria-critical | Procedures: P26; P27; P28; P29; P30

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G11 Current local orders/permits/contracts, approved emergency contacts and notice channels

Sector: All | Gate: Criteria-critical | Procedures: P09; P33

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G12 Customer/employee/AMI data map, resident roles/counts and processor contracts

Sector: All | Gate: Scope-critical | Procedures: P10; P32

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G13 Signed engagement, competence/independence review and approved report basis

Sector: All | Gate: Fieldwork gate | Procedures: P02

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G14 Approved ROE, operations/safety/vendor permissions, stop authority and rollback

Sector: All | Gate: Fieldwork gate | Procedures: P02

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G15 Current OT/IT inventory, zone/conduit map, legacy/protocol restrictions and dependencies

Sector: All | Gate: Evidence-required | Procedures: P03; P04

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G16 Risk methodology, annual reviews/treatments and actual risk acceptance authority

Sector: All | Gate: Evidence-required | Procedures: P05

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G17 Redacted monitoring, remote-access, patch/change and training records

Sector: All | Gate: Evidence-required | Procedures: P06; P07; P08; P13

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G18 IR/recovery plans, isolated restore evidence, exercises and corrective records

Sector: All | Gate: Evidence-required | Procedures: P11; P12

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G19 Population, period, selection method/rationale and coverage limits for each procedure

Sector: All | Gate: Execution gate | Procedures: P34

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G20 Controlled repository, evidence IDs/classification/retention/collector and source times

Sector: All | Gate: Execution gate | Procedures: P34

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G21 Actual examiner/reviewer, observations, evidence, execution/review dates and disposition

Sector: All | Gate: Review gate | Procedures: P34

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G22 Findings, corrective owners/dates, authorized retest and independent closure approval

Sector: All | Gate: Handover gate | Procedures: P35

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G23 Approved identity/role, remote-access, boundary, configuration and engineering-change records

Sector: All | Gate: Evidence-required | Procedures: P36; P37; P38; P39

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G24 Portable device/media inventory, critical supplier terms and shared-service responsibilities

Sector: All | Gate: Evidence-required | Procedures: P40; P41

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G25 Escorted physical walkthrough permission, access/power/environment records and specialist scope

Sector: All | Gate: Fieldwork gate | Procedures: P42

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G26 Workpaper cross-reference, evidence integrity/custody, retention/legal holds and secure handover

Sector: All | Gate: Review gate | Procedures: P43

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G27 Actual nuclear license/status, exemptions/orders, approved cybersecurity plan and specialist criteria, where indicated

Sector: Power | Gate: Criteria-critical | Procedures: P44

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G28 Gas/liquid pipeline control-room scope, exception facts, current rules and incorporated standards, where indicated

Sector: Utilities | Gate: Criteria-critical | Procedures: P45

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G29 EDC/AMI roles; meter-to-bill data lineage; validated/local data populations and actual PJM milestone

Sector: Power | Gate: Scope-critical | Procedures: P46

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G30 Current Board third-party eligibility order/list, Common Release Form, MFA, consent/decline/revocation and onward-recipient records

Sector: Power | Gate: Criteria-critical | Procedures: P47

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G31 AMI authorization/transmission timestamp exports, rolling-history/API/portal records and data-field map

Sector: Power | Gate: Evidence-required | Procedures: P46; P47

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G32 AMI release-response recipients/sequence, developer change notices, nine-metric semiannual instructions and receipts

Sector: Power | Gate: Evidence-required | Procedures: P48; P49

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G33 LDC/TPS role, current customer/error populations, reporting calculations and future supplier-freeze project/EDI evidence

Sector: Power | Gate: Criteria-critical | Procedures: P50

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G34 Actual drinking-water emergency/planned-change criteria, onset/call records, operator/engineer and asset-program filings

Sector: Water | Gate: Criteria-critical | Procedures: P51

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G35 Actual hazardous-substance capacities, current appendices, major-facility/pipeline determination and approved DPCC/DCR plans

Sector: All | Gate: Scope-critical | Procedures: P52

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G36 Discharge detection/monitoring/prevention/safety device map, malfunction/knowledge/notice/two-hour disposition records

Sector: All | Gate: Evidence-required | Procedures: P52

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G37 TCPA current federal/state clause crosswalk, approved consent anniversary, annual audit/report and NJRMP change/ownership receipts

Sector: Manufacturing | Gate: Criteria-critical | Procedures: P56

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G38 NJDPA purpose/data/age/acquisition map, actual exemptions, rights/appeal population and dated responses

Sector: All | Gate: Evidence-required | Procedures: P53; P54

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G39 Consent/revocation/cessation records, high-risk assessments, minimization/safeguard evidence and processor/subprocessor terms

Sector: All | Gate: Evidence-required | Procedures: P54; P55

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G40 Calendar for each recurring/event-based duty: applicable instrument, actual trigger/last event, owner/deputy, next due basis and receipt

Sector: All | Gate: Review gate | Procedures: P09; P14; P49; P50; P51; P56

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G41 DER/CIAP current chapter/readoption, Board orders, actual implementation/tariff, platform security/uptime/workflow evidence

Sector: Power | Gate: Criteria-critical | Procedures: P57

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

G42 Exact 6.10 event category, receiving DTW, initial/revised oral notice, written follow-up, bypass/serious-violation records and actual permit

Sector: Wastewater | Gate: Evidence-required | Procedures: P20

Status: Not provided. Gather securely; reviewer must assess sufficiency and remaining gaps.

Candidate criteria and applicability

All applicability is Unresolved. This inventory contains grouped topics and selected clause-level records; it is not every operative clause. Record facts, authority, actual decision owner/date and evidence; expand the inventory using complete current instruments.

NJ-C01 Board jurisdiction

Sector: All

Conditional scope: Electric, gas, water/wastewater entities potentially within BPU jurisdiction

Determine the legal entity, regulated service, governing orders and system boundary before assigning NJ01 duties.

Gather: Legal entity charter; BPU docket/order history; service territory; ownership; affiliate/shared-service map.

Locator: Order scope and critical-system definition

Sources / procedures: NJ01; NJ14 / P01; P02

NJ-C02 Critical system inventory

Sector: Utilities

Conditional scope: NJ01-covered entity/systems

Review critical ICS/SCADA and customer personal-information systems inventory annually and document changes.

Gather: Dated inventory, responsible owner, customer-data classification, annual review and changes.

Locator: Requirements 1(a)

Sources / procedures: NJ01 / P03; P04

NJ-C03 Annual risk process

Sector: Utilities

Conditional scope: NJ01-covered entity/systems

Conduct and document annual risk assessment/prioritization, methodology and review, including physical risk, vulnerabilities, current threats and recovery/continuity considerations.

Gather: Risk assessment, criteria, methodology review, treatment decisions and annual records.

Locator: Requirements 1(b)-(d)

Sources / procedures: NJ01 / P05

NJ-C04 Monitoring and threat review

Sector: Utilities

Conditional scope: NJ01-covered entity/systems

Use risk-based critical-system log monitoring and a process to evaluate relevant internal/external/vendor threat and vulnerability information.

Gather: Log sources, monitoring rationale, review records, threat advisories and applicability decisions.

Locator: Requirements 2(a)-(b)

Sources / procedures: NJ01 / P06; P07

NJ-C05 Patch decisions

Sector: Utilities

Conditional scope: NJ01-covered entity/systems

Review vendor patches in a timely manner and implement as appropriate; record risk, compatibility and compensating controls. No fixed universal patch-day deadline is supplied by this order.

Gather: Vendor notices, OT test/maintenance approvals, deferment rationale and safeguards.

Locator: Requirements 2(c)

Sources / procedures: NJ01 / P08

NJ-C06 ICS event notices

Sector: Utilities

Conditional scope: NJ01-covered entity with qualifying ICS event

Evaluate the order defined access, unauthorized code/commands and extortion events; reporting path through NJCCIC to designated Board staff is within six hours of detection.

Gather: Detection facts, defined-event match, time zone, current recipients/channel, receipt.

Locator: Requirements 3(a)(i)-(iv)

Sources / procedures: NJ01 / P09

NJ-C07 Other cyber and customer-data notices

Sector: Utilities

Conditional scope: NJ01-covered entity

Evaluate unusual cyber activity with potential critical-system compromise and Board copies of statutorily required customer-PI law-enforcement notices as separate duties.

Gather: Event scope, law-enforcement notice determination, Board copy and acknowledgement.

Locator: Requirements 3(b)-(c); 56:8-163

Sources / procedures: NJ01; NJ06 / P09; P10

NJ-C08 Response and exercise

Sector: Utilities

Conditional scope: NJ01-covered entity/systems

Maintain response lifecycle and forensic log retention arrangements; exercise at least once every 24 calendar months, with documented lessons and program updates. A qualifying actual incident may satisfy the order exercise provision.

Gather: Plan, recovery roles, retention rationale, exercise/incident evidence, lessons and closure.

Locator: Requirements 4(a)-(b)

Sources / procedures: NJ01 / P11; P12

NJ-C09 Training and awareness

Sector: Utilities

Conditional scope: NJ01-covered personnel

Review general awareness, continuing communications, role training and new-personnel training. The order uses biannually for program review; obtain a written interpretation rather than silently choosing a frequency.

Gather: Training matrix, course records, credential-holder coverage, actual review frequency and clarification.

Locator: Requirements 5(a)-(d)

Sources / procedures: NJ01 / P13

NJ-C10 Annual executive certification

Sector: Utilities

Conditional scope: NJ01-covered entity

Annual authorized-executive certification is due December 31 after historical implementation. NERC certification may satisfy the annual certification for the overlapping CIP-covered critical systems, not every NJ duty/system.

Gather: Signed certification, scope, receipt; exact NERC overlap and Board acceptance if used.

Locator: Accountability 1-3

Sources / procedures: NJ01 / P14

NJ-C11 Service-impact cyber reporting

Sector: Utilities

Conditional scope: Public utility within operative Chapter 14:3 scope

2022 adopted 14:3-6.7(a)6-7 requires Board reporting within six hours of awareness for listed cyber attacks and attempted intrusions with the specified service-impact conditions. Authenticate current code and later amendments.

Gather: Actual intrusion/outage facts, awareness timeline, consolidated rule, recipients, receipts.

Locator: 14:3-6.7(a)6-7

Sources / procedures: NJ02; NJ14; NJ15 / P09

NJ-C12 WQAA threshold

Sector: Water

Conditional scope: Owner of a public community water system with more than 500 service connections

Use service connections and system classification; exactly 500 is not more than 500. Do not substitute AWIA population or automatically include wastewater-only systems.

Gather: PWS ID, community classification, owner and dated service-connection count/source.

Locator: 58:31-2

Sources / procedures: NJ03 / P01; P15

NJ-C13 Program coverage and accountability

Sector: Water

Conditional scope: WQAA-covered purveyor

Maintain accountable cybersecurity program, risk management, threat awareness, exercised response/recovery and coverage of all ICS. Former internet-connected-system exemption was deleted.

Gather: Chief responsible individual; program; full ICS boundary; risk/treatment and exercise records.

Locator: 58:31-4(a)-(c); -4.1(a)

Sources / procedures: NJ03 / P05; P11; P15

NJ-C14 Chosen framework and revision

Sector: Water

Conditional scope: WQAA-covered purveyor

Reasonably conform to at least one listed framework family: NIST CSF, CIS Critical Security Controls or ISO/IEC 27000. A final revision to the chosen framework triggers revision and NJCCIC submission within 180 days.

Gather: Selected framework/version, final publication date, controls mapping, revision decisions and submission receipts.

Locator: 58:31-4.1(a)-(b)

Sources / procedures: NJ03; F12 / P16

NJ-C15 Membership, reporting process and insurance

Sector: Water

Conditional scope: WQAA-covered purveyor

Confirm NJCCIC membership, incident-reporting process and cyber insurance meeting applicable Board standards; verify historic compliance rather than restarting expired 2017/2021 transition periods.

Gather: Membership receipt, current reporting instructions, policy/declarations/limits/exclusions, applicable insurance standard.

Locator: 58:31-4(b),(d)

Sources / procedures: NJ03 / P17

NJ-C16 Annual certification and portal deliverables

Sector: Water

Conditional scope: WQAA-covered purveyor

Annual cybersecurity certification has ownership-specific signers. DEP currently lists annual controls assessment and annual certification December 31; confirm current forms, recipients and acceptance receipts.

Gather: Corporate officer/authority executive director/municipal mayor or CEO authority; filings and dated portal instructions.

Locator: 58:31-4.1(c); agency deliverables table

Sources / procedures: NJ03; NJ04 / P14; P17

NJ-C17 Prompt qualifying incident report

Sector: Water

Conditional scope: WQAA-covered purveyor with statutory incident facts

Report qualifying business-information compromise or defined ICS physical/service effects promptly after employee awareness. The statute 30-day period belongs to NJCCIC causing an audit after receipt, not purveyor reporting.

Gather: Employee-awareness timeline, affected functions, physical effects, notification route and receipt; written resolution of conflicting guidance.

Locator: 58:31-4.2(a)-(b)

Sources / procedures: NJ03; NJ04 / P09; P18

NJ-C18 Independent triggered audits

Sector: Water

Conditional scope: WQAA-covered purveyor meeting audit trigger

Missing specified submissions and reported qualifying incidents trigger NJCCIC-caused audits by a qualified independent cybersecurity company at purveyor expense, with audit/CAP submission. This planning pack is not that audit.

Gather: NJCCIC direction, trigger facts, auditor competence/independence, agreed scope, audit and corrective-action submissions.

Locator: 58:31-4.1(d); -4.2(b)

Sources / procedures: NJ03 / P18; P35

NJ-C19 Engineering and noncyber WQAA interfaces

Sector: Water

Conditional scope: WQAA-covered purveyor

Track noncyber annual certification, asset-management, engineering and operator duties with the appropriate specialists; do not claim the OT assessment completes them. Twelve-year valve/hydrant records are not a universal cyber retention term.

Gather: Licensed operator/engineer records; annual certification; capital/asset reports; separate ownership of noncyber work.

Locator: 58:31-3; -6 to -8

Sources / procedures: NJ03; NJ04 / P19

NJ-C20 AWIA federal applicability

Sector: Water

Conditional scope: Community water system serving more than 3,300 persons

Assess whole federal risk/resilience and emergency-plan obligations separately from NJ service-connection threshold; ERP certification follows actual RRA certification within six months.

Gather: Population source, RRA/ERP scope, EPA certification receipts and actual cycle dates.

Locator: AWIA Section 2013

Sources / procedures: F01 / P15; P19

NJ-C21 NJPDES and physical consequence reporting

Sector: Wastewater

Conditional scope: Actual wastewater permit/operator

Determine applicable discharge, bypass, noncompliance and emergency notice categories from current rule and permit; two-hour/24-hour categories are not a universal cyber deadline.

Gather: Complete NJPDES permit/modifications; current 7:14A-6.10; event classification; commencement/awareness timestamps; receiving treatment works where applicable; initial/update/written-report receipts.

Locator: 7:14A-6.10; permit-specific provisions

Sources / procedures: NJ12; NJ24 / P20; P09

NJ-C22 NERC CIP scope and versions

Sector: Power

Conditional scope: Registered functions and applicable systems

Determine functions, BES categorization and separately applicable control-center communications coverage; assign current effective standards and implementation plans. NJ BPU requirements remain a separate screen.

Gather: Registration, BES system categorization, control-center map, compliance dates and approved requirement inventory.

Locator: Actual CIP instruments, including applicable CIP-012 scope

Sources / procedures: F02; NJ01 / P21

NJ-C23 Energy safety and service interfaces

Sector: Power

Conditional scope: Gas, electric, generation, storage or other actual energy operations

Inventory applicable safety/environment/service rules, permits and emergency orders. Do not infer identical cyber duties for all energy assets.

Gather: Asset types, utility orders, pipeline classifications, generation permits, interconnections and emergency plans.

Locator: Entity instruments; controlled operator directives as applicable

Sources / procedures: NJ01; NJ14; F02; F09 / P22; P09

NJ-C24 TCPA chemical/process coverage

Sector: Manufacturing

Conditional scope: Facility potentially subject to NJ TCPA

Resolve substances, quantities, mixtures, processes, thresholds, exemptions and registration under current N.J.A.C. 7:31 before assigning program duties.

Gather: Chemical inventory/SDS, threshold calculations, process map, registration and current rule/consent orders.

Locator: N.J.S.A. 13:1K-19 et seq.; N.J.A.C. 7:31

Sources / procedures: NJ10; NJ11 / P23

NJ-C25 TCPA audit frequency and completeness

Sector: Manufacturing

Conditional scope: TCPA-covered facility

For covered Program 3 processes, 7:31-4.1(c)13 replaces the federal three-year compliance audit interval with every year and adds as-built/as-operated verification against process safety information. Audit report includes scope, techniques, methods and participant names, plus a written corrective-action implementation schedule or completed-action statement. The agency checklist alone is insufficient.

Gather: Program/coverage decision; current federal/state crosswalk; annual audit and as-built review; named participants; scope/methods; findings/actions schedule; evidence of completion.

Locator: 7:31-4.1(c)13,14,23; incorporated 40 CFR 68.79

Sources / procedures: NJ10; NJ20; NJ21; NJ22 / P24

NJ-C26 PSM and RMP integration

Sector: Manufacturing

Conditional scope: Actual OSHA PSM and/or EPA RMP covered process

Determine each program independently; OSHA PSM compliance audit cycle is at least every three years when applicable. Do not substitute that cycle for NJ TCPA annual audit. Cyber-induced process hazards require safety-team review.

Gather: Coverage decisions, process safety information, hazard analysis, MOC, audits, RMP program level/current rule dates.

Locator: 1910.119; 40 CFR 68; NJ incorporation

Sources / procedures: F06; F07; NJ11 / P23; P24; P25

NJ-C27 Rail/transit jurisdiction

Sector: Transportation

Conditional scope: Actual rail or transit operator

Distinguish FRA-regulated railroad, FTA rail fixed guideway and state oversight; authenticate current operator-specific safety/security programs and TSA instruments.

Gather: Operator/mode and jurisdiction decisions, NJ SSO program, current directives, approved programs and authorized access.

Locator: Operator-specific instruments; 49 CFR 674 where applicable

Sources / procedures: NJ13; F09; F11 / P26

NJ-C28 Maritime scope and separate duties

Sector: Transportation

Conditional scope: Actual MTSA vessel/facility/OCS operation

Determine 33 CFR 101 Subpart F scope, transition and approved-plan obligations. Keep cyber assessment, plan audit, drills, exercises and penetration tests as separate workstreams with actual due dates.

Gather: MTSA applicability; COTP correspondence; CySO; current plan; transition, training/drill/exercise/test records.

Locator: 33 CFR 101 Subpart F

Sources / procedures: F08 / P27

NJ-C29 Aviation scope

Sector: Transportation

Conditional scope: Airport/aircraft/operator-specific covered activity

Obtain current FAA certificate/operating conditions and authorized TSA security program/directives. A Part 139 certificate alone does not establish a universal OT cybersecurity audit standard.

Gather: Actual airport/operator status, controlled approved programs and authorized criteria access.

Locator: 14 CFR 139 and actual operator security instruments

Sources / procedures: F10; F09 / P28

NJ-C30 Pipeline scope

Sector: Transportation

Conditional scope: Actual pipeline operator and covered facilities

Resolve TSA pipeline security instrument scope/version and approved implementation, PHMSA safety coverage and NJ BPU interfaces separately.

Gather: Operator classification, controlled directives, segment/facility map, safety plans and NJ jurisdiction.

Locator: Actual current operator TSA/PHMSA/BPU instruments

Sources / procedures: F09; NJ01 / P29

NJ-C31 Road, traffic and local/bistate authority

Sector: Transportation

Conditional scope: Actual owner/operator and asset

Obtain state/county/municipal or bistate legal authority, contracts and asset-specific standards; determine public-agency reporting separately. No universal NJ traffic-SCADA audit mandate is established by this research.

Gather: Owner charters/compacts, roadway/signal ownership, access permits, operating agreements, engineering standards and reporting decisions.

Locator: Actual local/bistate instruments; 52:17B-193.2 definitions

Sources / procedures: NJ05; NJ13 / P30

NJ-C32 72-hour public/contractor reporting

Sector: All

Conditional scope: Public agency or qualifying government contractor

Notify NJCCIC within 72 hours of reasonable belief that an incident occurred. Contractor definition requires specified public-agency network/system/application/information access or hosting, not merely vendor status.

Gather: Entity charter; contracts/access scope; reasonable-belief timeline; current submission route and receipt.

Locator: 52:17B-193.2; -193.3(a)

Sources / procedures: NJ05 / P09; P31

NJ-C33 NJ resident breach analysis

Sector: All

Conditional scope: Covered NJ resident personal information and entity role

Evaluate actual personal-information definition, access/use, exemptions and notice duties. Notify State Police before customer disclosure; customer notice is expedient without unreasonable delay subject to statutory conditions.

Gather: Data elements, resident scope, encryption/key status, controller/maintainer role, law-enforcement correspondence, decision and notices.

Locator: 56:8-161; -163(a)-(c)

Sources / procedures: NJ06 / P10

NJ-C34 Breach exceptions and special notice paths

Sector: All

Conditional scope: Actual breach facts

Document no-reasonable-possibility-of-misuse exception for five years if used; evaluate maintainer immediate notice to owner, law-enforcement delay, over-1,000-person CRA notices and credential-only notification rules.

Gather: Written exception analysis, delay authorization, recipient counts, account/email role and exact notice sequence.

Locator: 56:8-163(a)-(g)

Sources / procedures: NJ06 / P10

NJ-C35 NJDPA threshold and exclusions

Sector: All

Conditional scope: Actual controller conducting NJ business/targeting residents

Screen 100,000 consumers excluding sole payment transactions, or 25,000 consumers plus sale revenue/discount. Evaluate government and other statutory exemptions and household consumer definition.

Gather: Entity classification, annual consumer counts/method, processing purpose, sale/discount flows, statutory exemptions.

Locator: 56:8-166.4 to -166.5; -166.13

Sources / procedures: NJ07 / P32

NJ-C36 NJDPA operational controls

Sector: All

Conditional scope: NJDPA-covered processing

Use the enacted operational criteria NJ-C64 through NJ-C70 for rights, notice, consent, reasonable security, assessments, processor contracts and opt-out mechanisms. Final implementing-rule status remains separately unverified; that gap does not erase enacted duties.

Gather: Data inventory, request workflow, processor terms, sensitive-data practices, risk assessments, current adopted-rule status.

Locator: 56:8-166.6 through -166.16

Sources / procedures: NJ07; NJ08; NJ09; NJ25 / P32; P53; P54; P55

NJ-C37 Local instruments and simultaneous notices

Sector: All

Conditional scope: Actual facility and municipality/county

Identify permit, consent-order, municipal/authority, OEM/LEPC, fire/health, insurer, contractual and operator duties. Distinguish binding instrument from voluntary coordination and calculate each trigger independently.

Gather: Exact facility locations, permits/orders/contracts, emergency plan, adopted local instruments and current recipients.

Locator: Engagement-specific local/permit/contract record required

Sources / procedures: NJ01; NJ03; NJ05; NJ12; NJ13 / P09; P33

NJ-C38 Technical method and authority

Sector: All

Conditional scope: All assessed OT systems

Use approved passive-first examination. An inventory, membership, geographic filter or workbook export grants no authority to scan or issue control commands.

Gather: Rules of engagement, owner/operations approval, vendor restrictions, test method, stop conditions and rollback.

Locator: Engagement method; binding only when expressly adopted

Sources / procedures: F03; F04; F05 / P02; P34

NJ-C39 Nuclear-specific cybersecurity scope

Sector: Power

Conditional scope: Actual licensee subject to 10 CFR 73.54

Screen actual NRC license conditions, exemptions/orders, approved cyber plan and protected digital-system functions. Review 73.55(m) program-review and 73.77 notification interfaces through specialized criteria; do not substitute generic NERC/NJ utility testing or restart the historical 2009 submission deadline.

Gather: Actual license/status, exemptions/orders, approved plan, digital asset scope, current review/notice criteria, qualified specialized reviewer and authorized information handling.

Locator: 10 CFR 73.54; actual license and approved plan

Sources / procedures: F13 / P44

NJ-C40 Gas pipeline SCADA control-room management

Sector: Utilities

Conditional scope: Actual gas pipeline operator with control-room controller monitoring/controlling through SCADA

Apply 192.631 scope before assigning full duties. Control rooms limited to distribution with fewer than 250,000 services and/or transmission without a compressor station implement only paragraphs (d), (i) and (j). Other covered operations require the applicable full procedure set, including SCADA information, alarms, change management and training.

Gather: Operator/segment and control-room map, service counts/compressor facts, current procedures and incorporated standards, actual records and justified exceptions.

Locator: 49 CFR 192.631(a)-(j)

Sources / procedures: F14 / P45

NJ-C41 Hazardous liquid SCADA control-room management

Sector: Transportation

Conditional scope: Actual hazardous liquid pipeline operator within 195.446 scope

Determine 195.446 coverage and actual roles, SCADA information, fatigue, alarm management, change management, operating experience, training and compliance records. Do not transfer the gas rule limited-procedure exception or assume TSA security assessment completes this safety program.

Gather: Pipeline/operator and control-room scope, current procedures/incorporated standards, SCADA/alarm/change/training records and compliance deviations.

Locator: 49 CFR 195.446

Sources / procedures: F15 / P45

NJ-C42 AMI scope and data lineage

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Identify EDC-owned smart-meter usage/demand data and distinguish validated data from local non-validated data. Non-validated data is not to be used for billing. These are specific AMI duties, independent of NERC CIP categorization.

Gather: EDC status; meter/data-flow inventory; validation and billing lineage; third-party roles.

Locator: 14:5-10.1; -10.2; -10.5(a)

Sources / procedures: NJ16 / P46

NJ-C43 AMI collection and availability

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Make validated readings available within 48 hours of capture and collect usage in intervals no greater than 15 minutes at watt-level precision. Requested billing intervals tied to Order 2222 follow the applicable PJM implementation date; do not substitute the deleted five-minute proposal language.

Gather: Capture/validation/availability timestamps with zones; interval settings and precision; actual PJM milestone and request evidence.

Locator: 14:5-10.3(a)1-2

Sources / procedures: NJ16 / P46

NJ-C44 Local non-validated AMI access

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

On customer request provide access through the customer-owned energy-monitoring device as close to real time as the meter allows. Document the rule distance/multi-unit-dwelling inability exception from actual facts.

Gather: Requests; supported meter/device capabilities; response and configuration records; specific inability rationale.

Locator: 14:5-10.3(b)

Sources / procedures: NJ16 / P46

NJ-C45 AMI authorized sharing and performance

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Use the Green Button Data Standard and required access channels. Supplier portal datasets include rolling 14 days delivered daily with automated API access. Transmit to authorized third parties within 60 seconds of customer authorization. Include required billing/meter/rate, multi-site address and account fields; do not charge access fees.

Gather: Authorized-recipient/data-field map; portal/API/EDI records; authorization and transmission times; rolling-history evidence; fee schedule.

Locator: 14:5-10.4(a)-(h)

Sources / procedures: NJ16 / P47

NJ-C46 AMI consent and eligible third parties

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Coordinate the Common Release Form. Its web access and confirmation require MFA; disclose third-party name, field/time/account scope and authorized use, with one-click consent/decline. Preserve revocation without penalty at any time. Obtain actual Board eligibility order/list under subsection (b); this pack does not supply an approved-party list.

Gather: Current common form; MFA design/evidence; consent/decline/revocation records; Board eligibility order/list and recipient verification.

Locator: 14:5-10.6(a)-(d)

Sources / procedures: NJ16 / P47

NJ-C47 AMI third-party protection

Sector: Power

Conditional scope: Third party receiving described customer information

Take appropriate steps to protect the customer information described in -10.4(g) according to industry cybersecurity/customer-information protection standards. Adopt and justify actual criteria; the rule does not name a universal certification.

Gather: Actual recipient/onward-transfer inventory; adopted security criteria; contracts; access, storage and transfer evidence; exceptions.

Locator: 14:5-10.6(e)

Sources / procedures: NJ16 / P47; P41

NJ-C48 AMI unauthorized-release notice

Sector: Power

Conditional scope: EDC, third party or onward recipient responsible for qualifying unauthorized release

Within 48 hours of discovery notify its customers, the supplying EDC, NJ State Police and BPU Division of Reliability and Security. Keep this duty separate from six-hour cyber events and ITPA State Police-before-customer notice sequencing where both apply.

Gather: Release/discovery facts and times; information and affected customers; recipient/channel verification; legal sequencing analysis; each submission and receipt.

Locator: 14:5-10.6(f); 56:8-163 as independently applicable

Sources / procedures: NJ16; NJ06; NJ01 / P48

NJ-C49 AMI permitted use and research access

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Use validated data for activated-AMI billing and customer load for peak-load contribution. Do not sell customer AMI data. Examine non-core use competition, anonymized noncommercial academic access and additional use-case exploration under their actual clauses.

Gather: Billing/settlement lineage; uses and sale inventory; authorized-party treatment; academic request, anonymization and purpose evidence.

Locator: 14:5-10.5(a)-(e)

Sources / procedures: NJ16 / P46; P47

NJ-C50 AMI application change and fairness

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Give application developers 90 days notice of technical changes adversely affecting functionality unless necessary for an immediate cyber concern/system vulnerability. Document nondiscriminatory access, the limits on surveillance/reverse engineering, and the web issue-tracking system.

Gather: Developer notices/timestamps; actual emergency rationale; access comparisons; application-review authority; issue records.

Locator: 14:5-10.7(a)-(d)

Sources / procedures: NJ16 / P49

NJ-C51 AMI semiannual metrics

Sector: Power

Conditional scope: Actual EDC/AMI operation within 14:5-10 scope

Report the nine adopted metric categories to the Board and Division of Clean Energy semiannually. Preserve the specified historical/monthly/tariff-class detail. The adopted rule changes quarterly to semiannual but does not supply an exact filing date in this section.

Gather: Nine-field reporting crosswalk; usage/demand history; access/withdrawal/onboarding/login metrics; actual reporting instructions, submissions and receipts.

Locator: 14:5-10.8(a)1-9

Sources / procedures: NJ16 / P49

NJ-C52 TPS billing-integrity incident reporting

Sector: Power

Conditional scope: Actual licensed TPS with qualifying billing-error population

For fewer than 60 customers: report 15 or more incorrectly billed within 30 days or less. For 60 or more: apply the lesser of 25 percent of customer base or 100 customers within 30 days or less. Report within 10 business days of awareness to the listed recipients, describing impact, cause and correction. Examine residential interest/refund rules separately.

Gather: TPS status; dated full customer population; overcharge/error population and window; calculation; awareness; report/copies; refund/credit/interest records.

Locator: 14:4-7.2 billing-error definition; -7.14(a)-(d)

Sources / procedures: NJ17 / P50

NJ-C53 Supplier freeze future implementation

Sector: Power

Conditional scope: Actual LDC/TPS customer-enrollment systems

The adopted freeze provisions specify September 8, 2027 applicability; plan changes now, then verify the actual operative date before concluding a violation. Trace direct customer authority, separate switching authorization, one-business-day implement/lift, two-business-day confirmation and rejected-order notice/EDI rules, including merger/default-service exceptions.

Gather: Approved implementation plan; EDI/vendor dependencies; actual rule/date interpretation; authorization/notice and nonproduction acceptance scenarios.

Locator: 14:4-2.6(d)-(e); -2.6A(a)-(n)

Sources / procedures: NJ17 / P50

NJ-C54 Drinking-water emergency notice

Sector: Water

Conditional scope: Supplier of water with qualifying quality/pressure emergency

Notify DEP by telephone within six hours of the occurrence of an emergency tending to lessen delivered quality/pressure or increase likelihood of nonstandard water. This occurrence trigger is distinct from cyber detection, employee awareness and reasonable belief.

Gather: Emergency onset; quality/pressure/process records; licensed operator review; current daytime/after-hours channel; call/receipt evidence.

Locator: 7:10-2.4(b)

Sources / procedures: NJ19 / P51; P09

NJ-C55 Planned treatment-change notice

Sector: Water

Conditional scope: Supplier planning a qualifying treatment-plant/operational change

Written DEP notice is required at least five working days before a planned change tending to lessen water quality or increase the likelihood of nonstandard water. Have the operator determine whether an OT change falls within the clause; a generic change ticket is not regulatory notice.

Gather: Change/MOC; operator engineering consequence review; proposed date; working-day basis; notice and receipt; permit review.

Locator: 7:10-2.4(a)

Sources / procedures: NJ19 / P51; P39

NJ-C56 Water asset-program and signatory interfaces

Sector: Water

Conditional scope: Public community water system within 7:10-6 scope

Coordinate the asset inventory, criticality, revision, funding and certification work with the responsible operator/engineer. Rule copy specifies annual March 15 capital report, asset-plan certification every three years or ownership/status change, ownership-specific annual compliance signers and annual designated training. Annual water-loss audit is specified effective March 31, 2027. These are distinct from the WQAA cyber certification.

Gather: Actual 7:10-6.1 scope; asset plan and prior certification; capital report; signatory/training records; current water-loss criteria; qualified engineering lead.

Locator: 7:10-6.1; -6.3(b)9,(c)-(g); -6.4

Sources / procedures: NJ19 / P51; P19

NJ-C57 Physical hazardous-substance discharge notice

Sector: All

Conditional scope: Actual person responsible for a qualifying NJ discharge

Immediate notice under 7:1E-5.3 is measured from knew/reasonably-should-have-known facts; receipt within 15 minutes is considered immediate. Evaluate only the current specific transformer and motor-vehicle conditions, other reporting laws and 5.6 delay justification; no general small-spill grace period is supplied here.

Gather: Substance/current Appendix A; discharge/pathway facts; actual knowledge timeline; exception clause/facts; hotline/backup; notification content and receipt.

Locator: 7:1E-5.3(a)-(f); -5.6

Sources / procedures: NJ18; NJ26 / P52; P09

NJ-C58 Discharge-detection/safety malfunction notice

Sector: All

Conditional scope: Owner/operator of an actual major facility under 7:1E

Immediately report malfunction of discharge detection or other discharge monitoring, prevention or safety system/device. Receipt within 15 minutes of knew/should-have-known is considered immediate. Within two hours after initial notice report repair, alternate detection activation or protected equipment out of service. An actual spill is not the stated prerequisite to this malfunction duty.

Gather: Major-facility determination; covered detection/prevention/safety devices; fault/awareness timeline; initial call; actual follow-up disposition/time; authorization for operations actions.

Locator: 7:1E-5.5(a)-(c); -5.6

Sources / procedures: NJ18 / P52

NJ-C59 DPCC/DCR plan and OT dependency screen

Sector: All

Conditional scope: Actual major facility/transmission pipeline under applicable 7:1E provisions

Screen capacity, substances, exemption facts and approved DPCC/DCR plans with the environmental owner. Trace detection, alarms, remote valves, response communications, changes, training and exercises into actual plan requirements. Agency requires covered plan submissions through its online service from June 1, 2026. Do not make the OT audit a substitute for required engineering/plan certification.

Gather: Capacity calculations; current appendices; registration; approved plans; change/renewal history; PE/offsite response signoffs; online submission receipts.

Locator: Applicable 7:1E-1.6; -2 through -4; actual approved plans

Sources / procedures: NJ18; NJ22; NJ26 / P52

NJ-C60 TCPA annual audit design

Sector: Manufacturing

Conditional scope: TCPA Program 3 covered process

Use the state every-year interval and verify as-built/as-operated equipment and process technology against process safety information. Include actual audit scope, techniques, methods and participant names; assign competent process-safety reviewers for elements outside OT competence.

Gather: Current incorporation crosswalk; full applicable program index; prior audit/date; PSI/as-built evidence; team competence and actual workpapers.

Locator: 7:31-4.1(c)13-14

Sources / procedures: NJ20; NJ21; NJ22 / P56

NJ-C61 TCPA corrective-action report

Sector: Manufacturing

Conditional scope: TCPA Program 3 audit with findings

Include written corrective-action implementation schedule or completed-action statement in the audit report. Reconcile other open related program findings. Do not close on an owner promise, completed spreadsheet or risk acceptance that conflicts with mandatory duties.

Gather: Audit findings and prior related findings; response basis; actual owners/dates; schedule; remediation and retest/reviewer evidence.

Locator: 7:31-4.1(c)23; incorporated 68.79(d)

Sources / procedures: NJ10; NJ20 / P56; P35

NJ-C62 TCPA annual report and anniversary

Sector: Manufacturing

Conditional scope: Actual covered Program 3 owner/operator

Report the prior 12-month risk-management activity within 90 days of the approval/consent anniversary. Include required updates or no-change statements, management changes, applicable PHA/risk assessment, event summaries, compliance audit/corrections and IST reports. Obtain actual approved anniversary; do not use a generic December 31 deadline.

Gather: Signed initial consent/order and approved anniversary change; annual report/checklist; audit and required attachments; submission receipt.

Locator: 7:31-4.9(a)-(b)

Sources / procedures: NJ20; NJ21 / P56

NJ-C63 NJRMP refresh and transfer

Sector: Manufacturing

Conditional scope: Actual TCPA covered facility/process

Reconcile full NJRMP five-year cycle, event-driven resubmission/corrections and owner-transfer requirements against actual facts. State and federal chemical scope differ. Current federal amendments are incorporated subject to state conflict/stricter-rule treatment; the old consolidated copy cannot settle all current duties.

Gather: Last full submission/receipt; process and chemical changes; Program Level; new-owner agreement; current federal/state crosswalk and actual dates.

Locator: 7:31-7.1(c)5,11; -7.4; incorporated Part 68

Sources / procedures: NJ20; NJ21; NJ22; NJ23 / P56

NJ-C64 Privacy rights and response record

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

Provide applicable access, correction, deletion, portability and opt-out rights. Verified requests use 45 days from receipt; a reasonably necessary 45-day extension requires notice/reason in the first period. Refusal notice and appeal response have separate 45-day windows. Authentication restrictions and documented fraudulent opt-out handling are distinct.

Gather: Request/appeal inventory; receipt dates; authentication/minimization method; decisions and extension/refusal notices; portability/deletion scope; actual exceptions.

Locator: 56:8-166.7; -166.10

Sources / procedures: NJ07 / P53

NJ-C65 Privacy notice and contact

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

Publish reasonably accessible, meaningful notice covering categories, purposes, third parties, shared data, rights/appeals, material changes/effective date and contact channel. Clearly disclose applicable sale/targeted advertising/profiling and opt-out. Do not require a new account merely to exercise a right.

Gather: Versioned notices and effective dates; actual data/use/recipient mapping; working channels; rights and appeal workflows; account prerequisites.

Locator: 56:8-166.6

Sources / procedures: NJ07 / P53

NJ-C66 Sensitive data, young consumers and consent

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

Obtain required sensitive-data consent and apply COPPA to known-child processing. Apply the enacted actual-knowledge/willful-disregard test for consumers at least 13 but younger than 17 in the listed uses. Provide equally easy consent revocation and cease the relevant processing as soon as practicable, no later than 15 days after receipt. AMI is excluded from the precise-geolocation definition, not from all personal/sensitive data.

Gather: Data-element/age-purpose map; actual consent/revocation records; scope of processing stopped; COPPA/specialist analysis; precise-geolocation decision.

Locator: 56:8-166.4 sensitive data/precise geolocation; -166.12(a)4,6,7

Sources / procedures: NJ07 / P54

NJ-C67 Privacy minimization and reasonable security

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

Limit collection to adequate, relevant, reasonably necessary data; control incompatible use and specify purposes. Apply administrative, technical and physical safeguards appropriate to data volume/nature during storage/use. Document exceptions and necessity rather than asserting utility-operation purposes waive every privacy safeguard.

Gather: Purpose/collection/retention map; legitimate exception basis; access/encryption/recovery controls; risk and safeguard evidence; deletion/return rules.

Locator: 56:8-166.12(a)1-3,8; -166.15

Sources / procedures: NJ07 / P54; P36; P41

NJ-C68 Privacy data-protection assessment

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

Before heightened-risk processing, conduct/document the required assessment for personal data acquired on/after the effective date. Evaluate listed profiling/targeted-advertising risks, sale and sensitive data; weigh benefits, rights risks, safeguards, expectations and context. Retain availability for DCA request. Statutory agency confidentiality/nonwaiver is not a blanket privilege for all audit workpapers.

Gather: Processing inventory and acquisition dates; risk trigger; actual assessment; benefits/risks/safeguards; approvals/changes; controlled location.

Locator: 56:8-166.12(a)9,(b)-(d)

Sources / procedures: NJ07 / P54

NJ-C69 Processor terms and assessment route

Sector: All

Conditional scope: Actual NJDPA controller/processor relationship

Binding processor terms specify instructions, purpose, data, duration, confidentiality, subcontractors, security allocation, deletion/return subject to law, and compliance information/assessment access. Independent annual assessor route is an alternative with controller consent, at processor expense; it is not a universal independent annual audit mandate for every utility.

Gather: Actual role facts; executed DPA and subprocessor terms; allocation; return/deletion; assessment access or consented independent report, scope/period/competence.

Locator: 56:8-166.16(a)-(g), especially (e)4(b)

Sources / procedures: NJ07 / P55

NJ-C70 Universal opt-out and authorized agents

Sector: All

Conditional scope: NJDPA-covered controller/processing after actual threshold and exemption review

For covered targeted advertising or personal-data sale, the statutory universal opt-out mechanism start is no later than six months after January 15, 2025. Review actual signals/agent authority and conflict/consent handling against enacted criteria and authenticated applicable rules. Do not describe this as merely a 2026 proposed-rule duty.

Gather: Sale/advertising applicability; supported user-selected mechanism; agent verification; signal/consent conflict records; dated functional evidence.

Locator: 56:8-166.11(a)-(c)

Sources / procedures: NJ07; NJ09; NJ25 / P53

NJ-C71 CIAP authentication and secure data exchange

Sector: Power

Conditional scope: Actual EDC CIAP within authenticated current 14:8 scope

The January 2026 adopted text requires MFA/secure login, role-based access, secure file viewing/transfer and confidentiality, integrity and accessibility within a privacy/security/risk-assessment framework. Resolve current chapter status, later orders, tariffs and implementation before treating this dated amendment as currently enforceable.

Gather: Current chapter/readoption and orders; actual CIAP implementation/tariff; role/tenant map; MFA and transfer design; independent evidence and exceptions.

Locator: 14:8-5.13(d)1-2; source currency dependency NJ-Q17

Sources / procedures: NJ27; NJ14 / P57

NJ-C72 CIAP availability, workflow and data quality

Sector: Power

Conditional scope: Actual EDC CIAP within authenticated current 14:8 scope

Adopted text specifies at least 99 percent uptime during stated weekday 8 AM-8 PM EST hours, quarterly public uptime metrics, listed workflow timestamps, payment/status visibility, validation and notifications/opt choices. Verify current operative text and measurement/time-zone interpretation. Hosting maps have separate updates/disclosure limits; operational security information is not automatically public.

Gather: Current instruments; uptime definition/window and raw periods; outage exclusions basis; fourteen timestamp events; validation/status records; map publication/security approvals.

Locator: 14:8-5.13(d)3-4; -5.11; NJ-Q17

Sources / procedures: NJ27 / P57

NJ-C73 NJPDES oral categories and revision notice

Sector: Wastewater

Conditional scope: Actual permittee with 6.10(a) qualifying facts

Subsection (c) uses two-hour hotline notice for (a)1i-iv health/environment exceedances and (a)2 unpermitted listed toxic/hazardous discharge, with receiving DTW if applicable; revisions to that information within 24 hours of awareness of the need. Subsection (d) uses 24-hour notice for (a)1v listed toxic daily-maximum exceedance, (a)3 other upset/unanticipated bypass and (a)5 residual-standard noncompliance. Apply actual commencement/awareness facts and permit.

Gather: Exact event/permit category; discharge/awareness/revision times; listed pollutants/limits; receiving DTW; initial and revision content/receipts.

Locator: 7:14A-6.10(a)-(d)

Sources / procedures: NJ24; NJ12 / P20; P09

NJ-C74 NJPDES written and anticipated-bypass duties

Sector: Wastewater

Conditional scope: Actual permittee with applicable 6.10 category

For (a)1-3 and 5, subsection (e) requires written information within five days of commencement or awareness if not previously submitted, and immediate correction of missing/incorrect facts; upset/unanticipated bypass has additional (f) evidence. Anticipated bypass uses (g) notice at least ten days before if possible. Serious violation has separate (i) 30-day written report; other noncompliance is reported with regular MRFs under (j).

Gather: Exact permit/category; contemporaneous signed logs; cause/duration/response/evidence; planned bypass/necessity/alternatives; serious-violation decision; current recipient; reports and receipts.

Locator: 7:14A-6.10(e)-(j)

Sources / procedures: NJ24 / P20; P09

Procedure starters and field boundaries

Tailor each objective, actual population/period, sample selection/rationale, method, depth/coverage and review basis. Execute only after authority and safety approval. Completion of text fields does not establish evidence quality.

P01 Resolve entity and facility scope

Sector / objective: All / Assign duties to a documented legal entity and system boundary.

1. Obtain charter, ownership and operator agreements.
2. Record each facility, county, municipality and legal owner/operator.
3. Identify service, PWS/permit/registration IDs and shared IT/OT providers.
4. Have qualified compliance counsel/authority resolve disputed coverage; retain exclusion reasons.

Evidence: Charter; ownership evidence; site list; contracts; applicable orders.

Review basis: Signed scope decision names systems, exclusions, decision maker, date and source. Unknowns remain Unresolved with owner and next evidence request.

Stop boundary: Do not assign regulatory coverage from sector or state selection alone.

Candidate criteria: NJ-C01; NJ-C12

P02 Approve audit plan and safety boundary

Sector / objective: All / Establish authority, criteria, competence and site safety before fieldwork.

1. Agree audit objective and assurance/report basis, period, users and limitations.
2. Assess examiner competence, conflicts and independence.
3. Agree evidence handling, sampling and escalation.
4. Obtain owner, operations, safety and vendor approvals.
5. Approve ROE, stop authority, recovery and communications.

Evidence: Engagement letter; independence/competence records; signed plan/ROE; safety contacts.

Review basis: Named approvers authorize precise methods, assets and windows; all scope-critical criteria/evidence gaps are resolved or scope is expressly limited.

Stop boundary: No active discovery, credential use, write operation or production test before explicit method authorization.

Candidate criteria: NJ-C01; NJ-C38

P03 Reconcile critical inventory

Sector / objective: Utilities / Find omissions between operating systems and the regulated critical-system inventory.

1. Obtain dated asset/configuration exports and drawings.
2. Reconcile ICS controllers, RTUs, HMIs, historians, engineering stations, telecom and customer-PI systems.
3. Trace additions/removals and review approval.
4. Record population/source/date and discrepancies.

Evidence: Inventory; diagrams; changes; annual review; customer-data inventory.

Review basis: A documented reconciliation covers agreed populations; unresolved omissions become findings rather than assumed absence.

Stop boundary: No unsolicited network probes or new capture ports.

Candidate criteria: NJ-C02

P04 Map dependencies and protocols

Sector / objective: All / Understand process consequences, legacy constraints and external paths.

1. Walk through physical process with operator.
2. Mark safety, power, time, communications, cloud/vendor and remote-access dependencies.
3. Identify actual protocols, versions and supported methods from approved records/passive observations.
4. Record unsupported/unseen assets and vendor safety restrictions.

Evidence: Validated zone/conduit diagram; dependency register; protocol/vendor records.

Review basis: Operators validate boundaries and consequence paths; assumptions and unknown protocol coverage are explicit.

Stop boundary: Do not promise all protocols are tested; no packet injection, failover or controller polling without separate approval.

Candidate criteria: NJ-C02

P05 Assess risk and treatment

Sector / objective: All / Connect cyber failure modes to safety, service, environment and financial exposure.

1. Agree risk scales and decision authority.
2. Examine current risk methodology and annual review where required.
3. Trace credible access and failure paths, dependencies and physical risks.
4. Examine controls/compensations and residual decisions.
5. Link each finding to consequence and accountable owner.

Evidence: Method; risk register; threat inputs; treatment approvals; BIA/continuity records.

Review basis: Evidence supports risk ratings and treatment; risk acceptance is an authorized decision with expiry, not a substitute for a mandatory duty.

Stop boundary: Do not simulate hazardous process states.

Candidate criteria: NJ-C03; NJ-C13

P06 Examine monitoring and time

Sector / objective: All / Establish whether relevant events can be detected and reconstructed.

1. List sources and retention rationale.
2. Examine representative authentication, remote access, configuration and boundary logs from approved exports.
3. Check time zone/time synchronization and gaps.
4. Trace one authorized alert through triage/escalation.

Evidence: Source inventory; redacted logs; time settings; alert/ticket trail; retention policy.

Review basis: Coverage and missing sources are recorded against agreed objectives; actual collector, collection time and source system are attributable.

Stop boundary: No induced live attack to create evidence; use approved historical event or lab example.

Candidate criteria: NJ-C04

P07 Trace threat and vulnerability handling

Sector / objective: Utilities / Test whether relevant intelligence leads to timely documented action.

1. Define assessment period and advisory population.
2. Select high-consequence and routine examples with rationale.
3. Trace receipt, applicability, owner, disposition and follow-up.
4. Investigate omitted suppliers/assets.

Evidence: Vendor/ISAC advisories; intake queue; tickets; risk decisions.

Review basis: Document the full population, selected sample, exceptions and coverage limits; an interview alone does not prove operation.

Stop boundary: Keep sensitive intelligence in authorized repository.

Candidate criteria: NJ-C04

P08 Review patch and legacy safeguards

Sector / objective: All / Assess risk decisions without destabilizing production.

1. Match approved vendor notices to asset versions.
2. Examine compatibility/lab validation and outage coordination.
3. Sample applied/deferred patches and compensating controls.
4. Review unsupported assets, isolation and replacement plans.

Evidence: Version exports; vendor notices; MOC/maintenance tickets; deferment approvals; compensating controls.

Review basis: Decisions are traceable to actual systems and approved criteria; no invented patch SLA is used.

Stop boundary: No patching, restart, exploit or active vulnerability scan under this document-only procedure.

Candidate criteria: NJ-C05

P09 Build and rehearse reporting decision tree

Sector / objective: All / Keep simultaneous legal triggers and clocks distinct.

1. Gather current source text and applicable instruments.
2. Record detection, employee-awareness, Board-awareness, reasonable-belief and incident-consequence times separately.
3. Map each matched duty to trigger, clock, owner, deputy and current official recipient.
4. Rehearse with synthetic scenario and evidence-preservation plan.
5. Retain actual submission/receipt trail separately from rehearsal.

Evidence: Notices register; approved decision tree; current portal instructions; tabletop record; receipts.

Review basis: No longer deadline displaces an earlier applicable duty; prompt reporting has no invented number. Unknown law/channel is escalated before fieldwork.

Stop boundary: Real incident: activate approved response and legal escalation immediately; this worksheet must not delay reporting.

Candidate criteria: NJ-C06; NJ-C07; NJ-C11; NJ-C17; NJ-C21; NJ-C23; NJ-C32; NJ-C37; NJ-C54; NJ-C57; NJ-C73; NJ-C74

P10 Review customer and employee information

Sector / objective: All / Determine breach and privacy handling using actual data and legal roles.

1. Inventory resident data, online credentials, AMI and vendor processing.
2. Record controller/maintainer roles and affected population.
3. Evaluate each legal definition/exception and exact sequence with counsel.
4. Review notices, law-enforcement communications and exception retention.
5. Use redacted evidence; avoid personal data in workbooks.

Evidence: Data inventory; processor contracts; legal decision record; redacted notice/receipt trail.

Review basis: Conclusions cite operative criteria and facts, not a generic PII label; all exceptions have evidence and authorized decision.

Stop boundary: Do not upload raw customer data, keys or compromised credentials into builder or pack.

Candidate criteria: NJ-C07; NJ-C33; NJ-C34

P11 Examine incident response and recovery

Sector / objective: All / Verify executable roles and recovery arrangements.

1. Review cyber/operations/safety incident integration.
2. Trace communications, containment authority, forensic preservation and manual operating limits.
3. Examine approved backup/configuration/logic recovery scope.
4. Review isolated restore evidence and actual recovery objectives.

Evidence: Approved plans; role/deputy roster; immutable/offline backup design; restore records; manual limits.

Review basis: Recovery claims are supported by relevant observed records; untested restores remain untested.

Stop boundary: No production restore, failover, interlock bypass or manual operation demonstration without separate safety authorization.

Candidate criteria: NJ-C08; NJ-C13

P12 Evaluate exercise record

Sector / objective: Utilities / Distinguish actual execution from a planned exercise.

1. Establish applicable cycle and last qualifying date.
2. Review scenario, participants, actual chronology, decisions and observations.
3. Trace lessons to owners and closure.
4. Verify whether claimed actual incident satisfies stated criteria.

Evidence: Exercise plan; attendance; contemporaneous notes; after-action report; action/retest evidence.

Review basis: Cycle, scope and evidence meet the adopted criterion; attendance or future calendar entry alone is insufficient.

Stop boundary: No false event reporting or disruptive live exercise.

Candidate criteria: NJ-C08

P13 Review training coverage

Sector / objective: Utilities / Assess general, role-specific and new-personnel coverage.

1. Reconcile personnel/credential-holder populations to training records.
2. Sample new staff and high-privilege roles.
3. Examine recurring communications and program review.
4. Record unresolved biannually interpretation and authorized interim plan.

Evidence: Role/credential roster; training matrix; completion records; awareness messages; written interpretation.

Review basis: Coverage/exceptions use actual populations and dates; an unsourced interpretation is not presented as law.

Stop boundary: Do not collect unnecessary employee personal information.

Candidate criteria: NJ-C09

P14 Reconcile certifications to evidence

Sector / objective: Utilities / Prevent unsupported executive sign-off.

1. Identify exact required certification and signer.
2. Reconcile assertions to scope, open findings and evidence.
3. Verify authority and dates.
4. Check filing recipients and receipts.
5. For NERC overlap, identify exact systems/duties accepted by BPU.

Evidence: Signed certification; authority record; supporting index; exception/legal decisions; receipts.

Review basis: Certification is separately approved by the proper authorized signatory; this workbook never creates one.

Stop boundary: Do not sign, backdate or submit a regulatory certification through this preparation workflow.

Candidate criteria: NJ-C10; NJ-C16

P15 Separate NJ and federal water scope

Sector / objective: Water / Avoid mixing service connections, population and ownership.

1. Obtain PWS identity and community status.
2. Record more-than-500 service-connection screen separately from AWIA more-than-3,300-person screen.
3. Validate actual owner and all ICS.
4. Record BPU and public-agency screens independently.

Evidence: PWS records; dated counts; charter; service/asset map; EPA certifications.

Review basis: Each applicable/excluded decision has source, facts and reviewer; wastewater-only is not silently treated as WQAA or AWIA water coverage.

Stop boundary: Unknown counts cannot become a No decision.

Candidate criteria: NJ-C12; NJ-C13; NJ-C20

P16 Test framework revision trail

Sector / objective: Water / Connect chosen framework to control and submission evidence.

1. Identify chosen listed framework(s), final edition and publication date.
2. Review requirement/control mapping and treatment.
3. Reconcile final revision changes and 180-day submission duty where triggered.
4. Verify actual program revision and receipt.

Evidence: Chosen-framework decision; licensed text where needed; change map; revised program; NJCCIC receipt.

Review basis: Final framework versions and actual change dates support the conclusion; SP 800-82 guidance alone is not the named CSF.

Stop boundary: Do not copy licensed standards into public exports.

Candidate criteria: NJ-C14

P17 Assemble NJCCIC and insurance records

Sector / objective: Water / Prepare current program-delivery and insurance evidence.

1. Obtain membership/program submission receipts.
2. Verify current annual portal instructions and deliverables.
3. Review policy period, named insured, exclusions, limits and applicable Board standards with insurance/legal owners.
4. Capture open coverage questions.

Evidence: Membership/filing evidence; insurance declarations and review; current instructions.

Review basis: Coverage and submission status use actual records, not historic deadline arithmetic or a portal screenshot alone.

Stop boundary: No insurance adequacy opinion without the relevant policy/standards and qualified review.

Candidate criteria: NJ-C15; NJ-C16

P18 Prepare for independent triggered audit

Sector / objective: Water / Recognize statutory triggers and qualified independent auditor requirements.

1. Determine missed-submission/incident trigger and NJCCIC direction.
2. Preserve incident and program records.
3. Confirm auditor competence, independence and scope.
4. Track audit, corrective plan and submission responsibilities.

Evidence: Trigger record; NJCCIC correspondence; engagement/independence records; audit/CAP/receipts.

Review basis: Actual regulator-required deliverables are separately planned and accepted; self-assessment is not called the independent statutory audit.

Stop boundary: No claim that GDF is appointed or independence accepted without actual engagement facts.

Candidate criteria: NJ-C17; NJ-C18

P19 Coordinate engineering and AWIA work

Sector / objective: Water / Keep OT evidence connected to broader resilience without overstating scope.

1. Inventory AWIA RRA components and ERP linkage.
2. Coordinate with operator/engineer for WQAA noncyber certifications and asset plans.
3. Assign deliverable owners and exact actual dates.
4. Expose work outside the cyber engagement.

Evidence: RRA/ERP scope; licensed specialist records; asset/capital reports; responsibility matrix.

Review basis: No whole-program conclusion rests on cyber testing alone; specialist dependencies and omissions are visible.

Stop boundary: Do not perform engineering certification or valve/hydrant operation under OT audit authority.

Candidate criteria: NJ-C19; NJ-C20; NJ-C56

P20 Map cyber-to-discharge consequences

Sector / objective: Wastewater / Integrate cyber incident handling with permit-based response.

1. Obtain complete current permit/modifications, 6.10 text and actual emergency plan.
2. Map pump, treatment, aeration, disinfection and telemetry failure consequences with permit owner.
3. Classify each scenario into the exact (a) category and independent (c), (d), (e), (f), (g), (i) or (j) duties.
4. Review commencement, awareness, revision, initial call and written-report records separately, including receiving DTW and signed contemporaneous logs where applicable.
5. Verify current recipients/channels and rehearse synthetic communications without real submission or process change.

Evidence: NJPDES permit; current rule; process diagrams; emergency contacts; reporting tree.

Review basis: Reporting categories cite actual permit/rule sections and facts; cyber and physical clocks remain separate.

Stop boundary: No bypass, discharge, process upset or chemical dosing test.

Candidate criteria: NJ-C21; NJ-C73; NJ-C74

P21 Reconcile NERC and NJ programs

Sector / objective: Power / Build a requirement-level inventory without assumed CIP coverage.

1. Verify registered entity/functions and systems.
2. Examine categorization and separate control-center communications screening.
3. Obtain current effective standards/implementation plans.
4. Map evidence and NJ duties, including any accepted certification overlap.

Evidence: Registration; categorization; current standards; system/evidence map; overlap decision.

Review basis: Qualified owner approves exact applicable requirements and versions; regional selection is not a CIP determination.

Stop boundary: No relay, protection, dispatch or generation-command testing without separate approved method.

Candidate criteria: NJ-C22

P22 Review energy operating interfaces

Sector / objective: Power / Cover gas, electric, generation and emerging asset boundaries.

1. Identify substations, DER, storage, plants, gas assets and outsourced control.
2. Map safety/dispatch/interconnection and permits.
3. Assign regulatory specialist owners.
4. Review communications loss/manual operations using records.

Evidence: Asset/interface list; approved operating and emergency plans; permits; vendor agreements.

Review basis: Each asset type has a documented governing-program screen and unresolved specialist work.

Stop boundary: No switching, trip, synchronization, gas-flow or storage safety test.

Candidate criteria: NJ-C23

P23 Screen covered processes

Sector / objective: Manufacturing / Resolve TCPA, PSM and RMP applicability with process safety personnel.

1. Obtain chemical quantities, mixtures, process boundaries and exemptions.
2. Reconcile NJ and federal threshold methods separately.
3. Obtain registration/program levels/consent orders.
4. Authenticate current rule/incorporation dates.

Evidence: Inventory/SDS; calculations; registration; current rules/orders; process engineer approval.

Review basis: Safety/compliance owner signs a factual coverage decision; manufacturing selection alone establishes none.

Stop boundary: Do not estimate chemical thresholds from production descriptions.

Candidate criteria: NJ-C24; NJ-C26

P24 Integrate safety audit and OT findings

Sector / objective: Manufacturing / Keep audit cycles and whole-program coverage distinct.

1. Build applicable TCPA annual, PSM three-year and RMP criteria/calendar separately.
2. Reconcile prior audits and open related findings.
3. Trace OT-related safeguards through process hazard analysis and MOC.
4. Record excluded safety elements and specialist owners.

Evidence: Current criteria; audit reports; PHA/MOC; corrective records; complete program index.

Review basis: OT findings inform the applicable safety program; checklist is not substituted for the final full audit report.

Stop boundary: No SIL/safety integrity, mechanical integrity or process-safety certification is implied.

Candidate criteria: NJ-C25; NJ-C26

P25 Review production and safety-system separation

Sector / objective: Manufacturing / Assess controller/recipe access and safety dependencies without actuation.

1. Examine design/configuration records for BPCS/SIS and engineering access.
2. Review recipe/logic change approval and vendor access.
3. Examine backups, provenance and restore validation.
4. Trace one historical approved change with safety owner.

Evidence: Architecture; logic/recipe change records; MOC; backups; vendor approvals.

Review basis: Actual boundaries and shared dependencies are recorded; independence claims require evidence.

Stop boundary: No logic download, interlock test, setpoint/recipe change or safety bypass.

Candidate criteria: NJ-C26

P26 Resolve rail and transit instruments

Sector / objective: Transportation / Match mode, jurisdiction and current operator criteria.

1. Obtain FRA/FTA/state oversight determination.
2. Obtain authorized current TSA directives and approved plans.
3. Identify signaling, traction, dispatch and communications boundaries.
4. Agree safety/SSI handling and independent audit requirements.

Evidence: Jurisdiction decision; current instruments; approved plans; diagrams; access restrictions.

Review basis: Authoritative operator criteria and safe methods are approved; inaccessible SSI remains an explicit criteria gap.

Stop boundary: No signaling, train control, dispatch or traction-power command; no SSI in public workbook.

Candidate criteria: NJ-C27

P27 Plan maritime workstreams

Sector / objective: Transportation / Prepare MTSA-related cyber planning by actual duty.

1. Confirm covered operation and approved security plan.
2. Identify CySO and COTP directions.
3. Build separate assessment, plan audit, training, drill, exercise and penetration-test records and due bases.
4. Review terminal/crane/ship-shore dependencies using approved records.

Evidence: Applicability; plan; CySO authority; current rule/transition map; individual duty records.

Review basis: Each duty has its own criterion, scope, actual date and acceptance; no one exercise is assumed to complete every duty.

Stop boundary: No crane, cargo, vessel or navigation actuation; isolate any separately authorized test.

Candidate criteria: NJ-C28

P28 Plan airport and aviation boundaries

Sector / objective: Transportation / Avoid mixing airport operational certification and controlled security criteria.

1. Verify certificate and operator/tenant roles.
2. Obtain current authorized TSA/FAA instruments.
3. Map baggage, access, fuel, building and airfield systems to owners.
4. Agree airside/tenant/safety permits and evidence handling.

Evidence: Certificate; approved programs/directives; owner/tenant map; airside permissions.

Review basis: Coverage and excluded federal/operator-controlled systems are explicit.

Stop boundary: No airfield lighting, fueling, baggage, access or air-traffic-system testing without specific authority.

Candidate criteria: NJ-C29

P29 Plan pipeline and NJ utility interfaces

Sector / objective: Transportation / Separate security instruments from safety and state reporting.

1. Identify pipeline type/operator and covered facilities.
2. Authenticate current TSA directive/plan access.
3. Obtain applicable PHMSA and NJ operating/reporting criteria.
4. Map compression, measurement, control and vendor interfaces.

Evidence: Operator/segment map; controlled directives; safety plans; NJ orders; reporting contacts.

Review basis: Each instrument has actual effective revision and applicability evidence; expired public copies are not treated as current.

Stop boundary: No flow, valve, compressor, leak-detection or emergency-shutdown actuation.

Candidate criteria: NJ-C30

P30 Resolve roads and bistate ownership

Sector / objective: Transportation / Prepare local and cross-authority traffic-system work.

1. Identify DOT/county/municipal/bistate owners and operators.
2. Obtain compact, delegation, maintenance and access agreements.
3. Identify signals, tolling, tunnels, bridges and dispatch dependencies.
4. Resolve reporting, privacy and emergency interfaces.

Evidence: Charter/compact; contracts; permits; engineering and cybersecurity standards; OEM coordination.

Review basis: Legal status and authority are decided from actual instruments; no assumed blanket NJ coverage for bistate bodies.

Stop boundary: No live signal timing, toll control, movable bridge or tunnel ventilation change.

Candidate criteria: NJ-C31

P31 Screen public-agency contractors

Sector / objective: All / Apply the access/hosting definition to actual contracts.

1. Identify public agency and contractor legal entities.
2. Read contract scope and actual network/system/application/information access.
3. Record current incident process and 72-hour reasonable-belief trigger.
4. Review supplier flow-down without assuming every supplier qualifies.

Evidence: Contracts; access/service records; legal coverage decision; current reporting process.

Review basis: Decision distinguishes qualifying access/hosting from ordinary supply and records actual facts.

Stop boundary: Do not transmit agency information to unauthorized repositories.

Candidate criteria: NJ-C32

P32 Screen privacy and customer-data controls

Sector / objective: All / Assess NJDPA coverage and actual processing duties.

1. Confirm legal entity/exclusions.
2. Reconcile annual household-consumer counts and sole-payment exclusions.
3. Review sale/revenue/discount facts and processors.
4. Authenticate adopted rules.
5. Map applicable rights, security and assessment duties to evidence.

Evidence: Entity/data inventory; count method; contracts; current rules; request/security/assessment records.

Review basis: No blanket AML, utility or employee-data conclusion; each exclusion has exact legal basis and facts.

Stop boundary: Do not infer final-rule status from a proposal or FAQ.

Candidate criteria: NJ-C35; NJ-C36

P33 Collect local and contractual instruments

Sector / objective: All / Turn local uncertainty into specific collection tasks.

1. List every facility/municipality/county and owner.
2. Obtain permits, consent orders, franchise/lease/compact terms and regulator directions.
3. Identify binding local enactment versus voluntary emergency coordination.
4. Record exact section, current status, trigger, recipient and responsible specialist.

Evidence: Complete instrument copies; adoption/effective evidence; current emergency plan; contractual duty register.

Review basis: Every local duty/exclusion is traceable; absent instruments remain unresolved rather than invented.

Stop boundary: No claim of all-municipality legal completeness from this state pack.

Candidate criteria: NJ-C37

P34 Execute traceable field records

Sector / objective: All / Produce reproducible evidence without unsafe work.

1. Record actual examiner, collector, permission, population/sample method and rationale.
2. Execute only approved examine/interview/test steps.
3. Record observations, exceptions, actual times and evidence IDs.
4. Separate criterion, condition, cause and consequence.
5. Have actual reviewer evaluate records and limits.

Evidence: Execution record; evidence manifest; ROE; sampling basis; observations; review trail.

Review basis: Evidence supports only the stated population/method; untested, missing and inaccessible are distinguished.

Stop boundary: Stop on safety concern, unexpected process behavior, unauthorized access or scope/method change; notify named stop authority.

Candidate criteria: NJ-C38

P35 Close findings and hand over

Sector / objective: All / Deliver an honest report and actionable remediation trail.

1. Validate factual findings with owners without surrendering examiner judgment.
2. Agree corrective owners/dates and evidence.
3. Retest relevant correction with authorized safe methods.
4. Record actual retester/date and closure approver/date.
5. Reconcile exclusions, open questions and source currency; deliver controlled report/records.

Evidence: Findings; treatment/acceptance; retest; closure approvals; final report and receipt.

Review basis: Closure follows actual remediation and retest; no future/reversed actual dates or TBD evidence accepted. Open scope/criteria prevent unsupported whole-program assurance.

Stop boundary: Risk acceptance cannot waive law; do not call this preparation pack a regulatory approval or guaranteed compliant audit.

Candidate criteria: NJ-C18; NJ-C61

P36 Examine identities and privileged access

Sector / objective: All / Assess actual access paths and lifecycle without trying credentials.

1. Reconcile approved account exports to named people, services and vendor roles.
2. Sample joiner/mover/leaver and privileged approvals.
3. Examine remote-access MFA, session approval, emergency access and service-account safeguards.
4. Record legacy constraints and compensating controls against the adopted criterion.

Evidence: Redacted account/role exports; access reviews; approvals; remote-access settings; termination tickets.

Review basis: Population and sample rationale support least-privilege/lifecycle conclusions; no universal MFA mandate is inferred from NIST guidance.

Stop boundary: No password guessing, login trials, account disabling or copying secrets.

Candidate criteria: NJ-C67

P37 Examine segmentation and remote conduits

Sector / objective: All / Verify documented boundary intent against approved configuration evidence.

1. Reconcile zones/conduits and vendor/cloud/wireless/cellular paths.
2. Examine authorized firewall, routing and jump-host exports.
3. Trace selected necessary flows and denied-path design, including actual Modbus, DNP3, EtherNet/IP, IEC 61850, OPC UA or other site protocols.
4. Document unobserved paths and protocol-specific limitations.

Evidence: Current drawings; sanitized configurations; authorized passive records; vendor connection lists.

Review basis: Each sampled path has a documented purpose/owner and adopted control objective; protocol mention alone is not proof of testing.

Stop boundary: No connectivity probes, packet injection, port mirroring or firewall changes without separate method approval.

Candidate criteria: NJ-C38 (adopt exact objective before execution)

P38 Examine configuration and endpoint safeguards

Sector / objective: All / Assess approved hardening against platform and process constraints.

1. Establish vendor-supported baselines and actual platform/firmware versions.
2. Examine approved exports for services, access, logging, application controls and endpoint protections.
3. Review exceptions and legacy compensations.
4. Compare selected systems to the adopted baseline with owner validation.

Evidence: Baseline; version/configuration exports; exception approvals; platform compatibility evidence.

Review basis: Observed deviations and coverage limits are recorded; unsupported devices are not silently judged by an IT-only benchmark.

Stop boundary: No agent installation, service stopping, hardening changes or unsupported endpoint query.

Candidate criteria: NJ-C38 (adopt exact objective before execution)

P39 Trace engineering and logic change integrity

Sector / objective: All / Establish authorization and recoverable provenance of control changes.

1. Identify approved engineering tools, project repositories and logic/firmware/recipe baselines.
2. Sample actual changes from request through review, safety/MOC, implementation and validation.
3. Compare approved stored revisions/checksums where safe and meaningful.
4. Review rollback and vendor handover.

Evidence: Change requests; approved logic/project revisions; MOC; checksums/provenance records; validation results.

Review basis: Actual changes and exceptions are attributable and reproducible within the approved evidence scope.

Stop boundary: No online logic comparison, upload/download, firmware or setpoint change without specific operations/vendor authorization.

Candidate criteria: NJ-C55

P40 Examine portable devices and removable media

Sector / objective: All / Review maintenance and transfer paths into isolated/legacy systems.

1. Inventory engineering laptops, portable media and temporary vendor equipment.
2. Review approval, custody, scanning/transfer-station and configuration practices.
3. Sample maintenance entry/exit records and exceptions.
4. Check restoration and contamination-response arrangements.

Evidence: Device/media register; vendor procedures; custody and maintenance records; approved transfer design.

Review basis: Known temporary paths and exceptions are recorded against adopted policy; evidence avoids malware introduction.

Stop boundary: Do not attach media, connect a laptop or run sample malware.

Candidate criteria: NJ-C38 (adopt exact objective before execution)

P41 Review supplier and shared-service controls

Sector / objective: All / Connect outsourced access and responsibilities to actual evidence.

1. Identify critical integrators, MSPs, telecom/cloud services and OEMs.
2. Examine responsibilities, incident notice, access approval, support/patch, recovery and evidence-access terms.
3. Sample access termination and supplier changes.
4. Resolve public-agency contractor scope separately.

Evidence: Contracts; responsibility matrix; service inventory; assurance reports with scope/period; supplier access records.

Review basis: Third-party claims are evaluated for scope, exceptions and period; certificates alone do not prove the operator controls.

Stop boundary: No outreach or supplier disclosure without engagement authorization; retain confidential contracts securely.

Candidate criteria: NJ-C47; NJ-C67

P42 Review physical and environmental dependencies

Sector / objective: All / Check whether cyber safeguards depend on unexamined physical access or utilities.

1. Conduct an authorized nonintrusive walkthrough with escort.
2. Review panel/control-room access, cabling, remote cabinets, environmental and backup-power dependencies.
3. Sample authorized access/maintenance records.
4. Record safety and engineering specialist dependencies.

Evidence: Escort/permission; observations; access records; power/environment drawings; maintenance evidence.

Review basis: Recorded observations identify time, location, escort and limitation; specialist assurance is not invented.

Stop boundary: No cabinet opening, electrical contact, lock bypass, alarm triggering or unescorted access.

Candidate criteria: NJ-C38 (adopt exact objective before execution)

P43 Review workpaper quality and information handling

Sector / objective: All / Make the result reproducible, controlled and candid.

1. Reconcile criteria to procedures, executions, evidence and findings.
2. Verify actual examiner/collector/reviewer and actual chronology.
3. Treat TBD, pending, unknown, n/a without basis and absent records as unresolved.
4. Check evidence origin, capture time/time zone, classification, integrity and custody; hash where appropriate, recording method.
5. Check retention/legal holds, access, versioning, secure transfer and destruction approval.

Evidence: Cross-reference index; quality review; evidence manifest/custody; controlled report; actual acceptance receipt.

Review basis: Qualified reviewer checks substantive sufficiency; this pack has no automated compliance/readiness score or evidence-quality certification.

Stop boundary: Do not store secrets, raw PII, SSI or exploitable configurations in public exports; do not delete held evidence.

Candidate criteria: NJ-C38 (adopt exact objective before execution)

P44 Resolve nuclear criteria and specialist authority

Sector / objective: Power / Keep nuclear cybersecurity review within actual approved license/program boundaries.

1. Obtain current license status, orders/exemptions and approved cybersecurity plan through authorized access.
2. Have qualified nuclear compliance personnel establish 73.54 scope and protected functions/assets.
3. Obtain actual 73.55(m) review and 73.77 reporting criteria and program records.
4. Agree specialized competence, independence, safety and protected-information handling.
5. Limit generic OT work to expressly approved objectives.

Evidence: License/status; exemptions/orders; approved plan; protected-asset and criteria inventory; specialist approval and actual program-review/notice records.

Review basis: Actual governing instruments and qualified specialized review support the agreed scope; generic workbook completion is not NRC program assurance.

Stop boundary: No plant connection, testing or disclosure of protected information; no nuclear audit claim without the actual criteria and qualified authorized engagement.

Candidate criteria: NJ-C39

P45 Review pipeline control-room safety records

Sector / objective: Utilities / Examine gas and hazardous-liquid control-room duties separately from security directives.

1. Establish operator, SCADA/controller and control-room scope; resolve the gas limited-procedure exception from actual services/compressor facts.
2. Obtain applicable 192.631 or 195.446 clauses and incorporated editions.
3. Trace historical SCADA display/field point verification, backup/manual-communications checks, alarm reviews, fatigue/shift handover, changes, lessons and training as applicable.
4. Build exact clause-specific cycles and sample actual records, documenting exceptions.

Evidence: Scope decision; current criteria; controller roles; SCADA/alarm/shift/change records; historical check/training results; deviations and corrective records.

Review basis: Each conclusion identifies actual rule/paragraph, applicability, dates, evidence and limits. Do not import one rule exceptions/cycles into the other or claim a whole pipeline safety audit.

Stop boundary: No live alarm inhibition, forced values, point actuation, backup switching, fatigue experiment or manual operation test; examine approved historical records.

Candidate criteria: NJ-C40; NJ-C41

P46 Trace AMI meter-to-bill lineage

Sector / objective: Power / Establish complete data lineage and the adopted collection/availability measures.

1. Confirm actual EDC, meter population, platform and third-party boundaries.
2. Obtain approved stored exports for capture, validation, release and billing for a defined period.
3. Select normal, delayed, corrected, multi-site and customer-request examples with a recorded selection basis.
4. Compare actual precision, intervals, elapsed times and billing sources to each adopted clause.
5. Investigate clock/zone and missing-record limits; separate local non-validated access and PJM milestone evidence.

Evidence: Meter/system population; sanitized timestamp/interval/validation exports; billing lineage; request and exception records; actual PJM criterion.

Review basis: Trace each sample to source and criterion; report untested populations and actual measured intervals. One successful portal display does not establish all-customer operation.

Stop boundary: No meter reconfiguration, HAN pairing, disconnect/reconnect, live query load or production billing change.

Candidate criteria: NJ-C42; NJ-C43; NJ-C44; NJ-C49

P47 Review AMI authorization and onward access

Sector / objective: Power / Determine whether customer instructions and recipient controls match adopted AMI duties.

1. Obtain common form/version, Board eligibility order/list and recipient/data-field map.
2. Examine MFA access/confirmation design and approved historical records.
3. Sample consent, decline, historical/ongoing scope, revocation and onward transfer, including exceptions.
4. Trace authorization-to-transmission time and API/portal history.
5. Review security criteria, use restrictions, prohibited sale and anonymized academic-access handling.

Evidence: Forms; actual approved-party record; permissions and revocations; redacted transfer logs; contracts/security controls; academic data-release basis.

Review basis: Evidence links named recipient, authorized account/field/time scope and actual delivery/revocation handling. Record any absent Board implementation order as an unresolved criterion dependency.

Stop boundary: Use authorized nonproduction/sanitized records; do not grant/revoke real customer permissions, export customer histories or impersonate customers.

Candidate criteria: NJ-C45; NJ-C46; NJ-C47; NJ-C49

P48 Rehearse simultaneous AMI and breach notices

Sector / objective: Power / Prepare accurate separate event classifications, recipients and time records.

1. Use a synthetic unauthorized-release scenario, not a real submission.
2. Resolve 14:5-10.6(f) party/information scope and discovery time.
3. Independently screen BPU six-hour, WQAA, public-agency and ITPA triggers.
4. Record current recipient/channel and sequencing for every applicable duty.
5. Review escalation, deputies, evidence preservation, legal decisions and receipt capture.

Evidence: Scenario/classification; separate clock register; current official channels; approved templates; escalation/receipt procedure.

Review basis: No single notice or longest window is assumed to satisfy all duties. ITPA State Police-before-customer sequence is evaluated where applicable; unanswered classification stops a readiness conclusion.

Stop boundary: No actual incident notice, customer communication or regulator submission is authorized by the exercise.

Candidate criteria: NJ-C48

P49 Review AMI changes and semiannual reporting

Sector / objective: Power / Verify developer-impact controls and reproducible adopted metrics.

1. Build a nine-metric source/definition crosswalk from final 10.8 text.
2. Reconcile reporting period, historical detail, counts and login measures to approved system records.
3. Obtain actual filing instructions/date and receipts.
4. Sample a developer-affecting change, 90-day notice or evidenced immediate-cyber exception and issue tracking.
5. Review nondiscriminatory access and authorized application-analysis limits.

Evidence: Metric dictionary/population; query lineage and reconciliations; filed reports/receipts; notices; change/exception/issue records.

Review basis: Semiannual duty is distinguished from any quarterly language retained within metric detail; do not invent filing day or claim an unverified reporting period.

Stop boundary: No production API change, code reverse engineering or third-party application testing without specific authority.

Candidate criteria: NJ-C50; NJ-C51

P50 Assess energy customer-data integrity changes

Sector / objective: Power / Separate current TPS reporting from future supplier-freeze implementation.

1. Confirm LDC/TPS roles, effective amendments and the explicit September 8, 2027 freeze applicability date.
2. Reconcile actual TPS customer/error populations and 30-day window; calculate applicable reporting threshold from final text.
3. Review historical qualifying reports, copies and correction/refund treatment.
4. Review freeze project design, direct customer authority, separate switch consent, EDI rejections, notices and merger/default exceptions using nonproduction cases.
5. Assign implementation owners/dates and vendor dependencies.

Evidence: Role/license facts; adopted text; dated population/error calculations; report/credit records; project and nonproduction acceptance records.

Review basis: Do not use proposal threshold of 10 customers or classify a future freeze requirement as currently overdue. Report data-integrity findings within the approved scope.

Stop boundary: No live supplier switch, freeze, customer notice, billing adjustment or unauthorized customer-record disclosure.

Candidate criteria: NJ-C52; NJ-C53

P51 Coordinate OT changes with water-safety duties

Sector / objective: Water / Link OT consequences to actual operator notices and asset-program work.

1. Confirm system/rule scope independently of WQAA connection count.
2. With the licensed operator classify quality/pressure emergencies and planned treatment changes.
3. Review occurrence-to-call and planned-change written-notice records.
4. Reconcile asset-plan certification, March 15 capital report, signatory training and future water-loss audit interface.
5. Record engineering tasks outside the cyber engagement and current recipients/receipts.

Evidence: PWS/system status; operator decision; change/emergency records; notice receipts; asset plan/report/calendar; specialist responsibility.

Review basis: Cyber evidence supports defined interfaces; the operator/engineer owns water-quality, asset-engineering and certification determinations. Actual event onset differs from cyber detection.

Stop boundary: No treatment change, dosing/pressure manipulation, valve/hydrant operation, water sampling certification or unsigned engineering conclusion.

Candidate criteria: NJ-C54; NJ-C55; NJ-C56

P52 Review spill-prevention OT and reporting interfaces

Sector / objective: All / Prepare environmental response without confusing malfunction, discharge and cyber triggers.

1. Have environmental lead determine major-facility/pipeline and substance/plan scope with current appendices.
2. Map detection, monitoring, prevention and safety devices to actual approved plans.
3. Review synthetic or authorized historical discharge and device-malfunction cases, preserving knew/should-have-known times separately.
4. Trace immediate notice, two-hour malfunction disposition and applicable written follow-up to exact clauses; assess only current specific exceptions.
5. Reconcile DPCC/DCR submissions, changes, specialist signoffs and independent federal/local duties.

Evidence: Capacity and coverage calculations; current rules/appendices; plans; device/alarm map; awareness/call/disposition timeline; exception evidence; submission receipts.

Review basis: A no-spill outcome does not itself remove 5.5 malfunction duty. Major-facility exemption does not automatically exempt discharge notification. Delays need actual evidence and regulator/legal assessment, not a programmed grace period.

Stop boundary: No spill simulation, detector disabling, alarm inhibition, valve operation, protected-equipment shutdown or real emergency call.

Candidate criteria: NJ-C57; NJ-C58; NJ-C59

P53 Examine privacy rights and notice operations

Sector / objective: All / Verify enacted consumer rights using traceable safe records.

1. Confirm actual legal coverage, role, household consumers and exemption basis.
2. Reconcile notice versions to processing/recipient facts.
3. Select requests, refusals, extensions, appeals, portability/deletion and opt-out/agent cases from a defined population.
4. Calculate each actual receipt/response window and review required notices and legitimate exceptions.
5. Review universal mechanisms with authorized synthetic/nonproduction evidence; record final-rule status separately.

Evidence: Coverage decision; data map; notices; redacted request/appeal population and timestamps; response and signal records; exception analysis.

Review basis: A policy alone does not prove response performance; explain missing populations, untested rights and any unsupportable exemptions. No final-rule duty is invented.

Stop boundary: Do not submit real consumer requests, erase data, expose PII, override legal holds or test live customer accounts without authority.

Candidate criteria: NJ-C36; NJ-C64; NJ-C65; NJ-C70

P54 Examine privacy consent, safeguards and risk assessments

Sector / objective: All / Evaluate actual processing decisions and safeguards against enacted provisions.

1. Reconcile purpose/data/age/acquisition-date inventory to sensitive-data and high-risk screens.
2. Examine required consent, equally easy revocation and actual cessation chronology.
3. Review minimization, incompatible-use controls and safeguards proportionate to data nature/volume.
4. Trace heightened-risk assessments to processing before it starts, benefits/risks, safeguards and authorized decisions.
5. Review restricted retention, regulator access and precise legal privilege basis with counsel.

Evidence: Data/purpose map; actual consent/revocation records; age/COPPA decision; safeguard evidence; assessments and changes; retention/hold instructions.

Review basis: Separate ordinary utility necessity, data-specific exceptions and full entity exemptions; no assumption every AMI use is exempt or every assessment privileged.

Stop boundary: No production consent withdrawal, destructive deletion, young-consumer profiling test or public export of sensitive data.

Candidate criteria: NJ-C36; NJ-C66; NJ-C67; NJ-C68

P55 Examine processor contract and assurance evidence

Sector / objective: All / Verify actual allocation and permitted assessment route.

1. Determine controller/processor roles from actual purposes/instructions.
2. Compare executed contract and subprocessor terms to each 166.16 requirement.
3. Review confidentiality, security allocation, request/breach assistance and end-of-service disposition.
4. Verify assessment access or actual controller-consented annual independent route, qualified assessor, period, scope and exceptions.
5. Trace material gaps to corrective owners and evidence requests.

Evidence: Executed terms/instructions; subcontractor register; allocation; service exit/assistance records; assessment rights or consented report; exceptions/actions.

Review basis: A generic certificate or supplier marketing statement does not satisfy missing contractual terms or scope. Annual independent route is not asserted mandatory when statutory alternative used.

Stop boundary: No supplier outreach, contract disclosure, data transfer or deletion without engagement-specific authority.

Candidate criteria: NJ-C36; NJ-C69

P56 Reconcile TCPA full-program audit and report

Sector / objective: Manufacturing / Connect OT findings to the actual annual process-safety program.

1. Establish facility/process/program and approved anniversary from actual consent/order.
2. Reconcile state modifications, 2022 readoption, current federal amendments and their dates in a clause crosswalk.
3. Allocate every applicable full-program element to competent reviewers; examine as-built/operated PSI verification and actual audit participant/method records.
4. Reconcile corrective schedules and other open related findings.
5. Trace 12-month report contents, 90-day filing and receipt, plus NJRMP event/ownership changes.

Evidence: Coverage/anniversary; complete current criteria crosswalk; team competence; PSI/audit/workpapers; findings/actions; annual report/NJRMP and receipts.

Review basis: OT work is a defined contribution. A complete safety-program conclusion requires all applicable elements and qualified reviewers; old consolidated copy and filled checklist do not supply it.

Stop boundary: No process operation, chemical handling, SIS/interlock actuation, startup authorization or process-safety certification by generic OT examiners.

Candidate criteria: NJ-C60; NJ-C61; NJ-C62; NJ-C63

P57 Review interconnection portal control evidence

Sector / objective: Power / Assess CIAP data protection, availability and workflow only against authenticated actual criteria.

1. Resolve Chapter 14:8 status/readoption, effective orders/tariffs and implementation with utility compliance.
2. Map CIAP/applicant/EDC/vendor boundaries and actual assigned responsibilities.
3. Examine authorized historical/nonproduction MFA, role, secure-transfer and account separation records.
4. Reconcile uptime definition, stated hours/time zone and public metrics to source records; do not assume UTC/EST/daylight interpretation.
5. Sample workflow timestamps, validation, payment/status and notification preferences, plus approved map disclosure controls.

Evidence: Current chapter/status and orders; actual tariff/implementation; architecture/roles; redacted access/transfer records; uptime and workflow populations; map approvals.

Review basis: No current noncompliance finding rests on an unreconciled dated amendment, proposal or future implementation assumption. Report actual tested population/method and unresolved criteria precisely.

Stop boundary: No live interconnection approval, grid-control setting, production file upload, account cross-access or public release of operational maps.

Candidate criteria: NJ-C71; NJ-C72

Reporting triggers

Not a complete notice inventory or incident service. Keep each trigger, clock, current channel, owner/deputy and actual receipt separate. Add actual recurring certifications, framework revisions, physical/permit, federal/operator, contract and local duties. Do not delay incident escalation to fill this pack.

N01 NJ01-covered utilities

Qualifying event: Defined ICS events

Trigger: Detection

Window / limit: Within 6 hours

Basis: NJ01 Requirements 3(a)

N02 Covered public utility

Qualifying event: Listed cyber attack/attempt with adopted service-impact conditions

Trigger: Becoming aware

Window / limit: Within 6 hours; authenticate current code

Basis: NJ02 14:3-6.7(a)6-7

N03 WQAA-covered water purveyor

Qualifying event: Statutory business-information compromise or specified ICS effects

Trigger: Employee made aware

Window / limit: Promptly; no numeric grace period asserted

Basis: NJ03 58:31-4.2(a)

N04 Public agency/qualifying contractor

Qualifying event: Covered cybersecurity incident

Trigger: Reasonable belief occurred

Window / limit: Within 72 hours

Basis: NJ05 52:17B-193.3(a)

N05 Covered holder of NJ resident PI

Qualifying event: Breach meeting actual statutory conditions

Trigger: Discovery and applicable statutory analysis

Window / limit: Expedient without unreasonable delay; State Police before customer disclosure

Basis: NJ06 56:8-163

N06 Maintainer on behalf of another

Qualifying event: Covered breach in maintained records

Trigger: Discovery

Window / limit: Immediately notify owner/licensee as specified

Basis: NJ06 56:8-163(b)

N07 Actual wastewater operator

Qualifying event: Permit/rule-defined discharge/bypass/noncompliance

Trigger: Exact permit/rule trigger to determine

Window / limit: Applicable 2-hour/24-hour category; not a generic cyber clock

Basis: NJ12 and actual permit/current rule

N08 Actual facility/operator

Qualifying event: Physical safety, environmental, federal, contract/insurer and local events

Trigger: Instrument-specific trigger to determine

Window / limit: Unresolved until actual criteria obtained

Basis: NJ-C23; NJ-C26 to C31; NJ-C37

N09 Covered AMI EDC/third party/onward recipient

Qualifying event: Unauthorized release of covered customer information

Trigger: Discovery

Window / limit: Within 48 hours; required recipients separately; coordinate independently applicable earlier/sequence duties

Basis: NJ16 14:5-10.6(f)

N10 Supplier of water

Qualifying event: Qualifying emergency affecting quality/pressure/standards

Trigger: Occurrence of emergency

Window / limit: Within 6 hours by telephone; distinct from cyber detection/awareness triggers

Basis: NJ19 7:10-2.4(b)

N11 Responsible person for qualifying NJ hazardous discharge

Qualifying event: Discharge under actual 7:1E scope and exceptions

Trigger: Knew or reasonably should have known

Window / limit: Immediate; receipt within 15 minutes considered immediate. No generic small-spill exception; actual 5.6 delay basis requires review.

Basis: NJ18 7:1E-5.3; -5.6

N12 Actual major-facility owner/operator

Qualifying event: Discharge detection/monitoring/prevention/safety system or device malfunction

Trigger: Knew or reasonably should have known of malfunction

Window / limit: Immediate; 15-minute measure, then disposition notice within 2 hours after initial notification

Basis: NJ18 7:1E-5.5(a)-(c)

N13 Actual TPS meeting adopted customer/error threshold

Qualifying event: Incorrect billing incident meeting actual population/window tests

Trigger: TPS became aware of incident

Window / limit: Written report within 10 business days to specified Board recipients and utility if consolidated billing

Basis: NJ17 14:4-7.14(a)-(b)

N14 Actual NJPDES permittee with 6.10(c) event

Qualifying event: 6.10(a)1i-iv exceedance or (a)2 listed unpermitted discharge

Trigger: Discharge commencement or permittee awareness; actual facts required

Window / limit: Initial telephone notice within 2 hours; revisions within 24 hours after awareness of need to revise

Basis: NJ24 7:14A-6.10(c)

N15 Actual NJPDES permittee with 6.10(d) event

Qualifying event: 6.10(a)1v toxic daily maximum, (a)3 other upset/bypass or (a)5 residual noncompliance

Trigger: Discharge commencement or permittee awareness; actual facts required

Window / limit: Telephone notice within 24 hours; verify no earlier independently applicable category

Basis: NJ24 7:14A-6.10(d)

N16 NJPDES (a)1-3 or 5 category

Qualifying event: Required written information not previously submitted

Trigger: Discharge commencement or awareness; actual applicable basis

Window / limit: Within 5 days; immediately correct missing or incorrect required facts; additional (f) documentation where applicable

Basis: NJ24 7:14A-6.10(e)-(f)

N17 Actual NJPDES serious violation

Qualifying event: Serious violation as defined by applicable rule

Trigger: Violation

Window / limit: Separate written report within 30 days; does not replace earlier applicable oral/written duties

Basis: NJ24 7:14A-6.10(i)

Recurring and event-based duty calendar

Populate actual applicability, accountable owner/deputy, last event, next due date, calculation basis, source and receipt in Calendar. No automatic legal due date is inferred from a selection.

D01 Critical-system inventory and risk/method review

Sector / scope: NJ01 covered entity

Trigger: Prior actual annual review

Timing: Annual; establish actual review period

Basis: NJ01 Requirements 1

Prepare: Inventory/change reconciliation, risk assessment/treatment and methodology review with actual owners.

D02 Executive cyber certification

Sector / scope: NJ01 covered entity

Trigger: Annual cycle

Timing: December 31 after historical implementation; verify current instructions

Basis: NJ01 Accountability

Prepare: Actual authorized signer, scope, evidence and receipt; document limited NERC overlap if used.

D03 Cyber response exercise

Sector / scope: NJ01 covered entity

Trigger: Last qualifying actual exercise/incident

Timing: At least once every 24 calendar months under order conditions

Basis: NJ01 Requirements 4

Prepare: Actual date, scenario/coverage, lessons and corrective actions; do not invent a new initial grace period.

D04 Awareness-program review

Sector / scope: NJ01 covered entity

Trigger: Actual review/Board interpretation

Timing: Biannually wording remains unresolved; obtain interpretation

Basis: NJ01 Requirements 5

Prepare: Record actual interim management practice and written clarification separately.

D05 WQAA framework revision and submission

Sector / scope: Covered purveyor/chosen framework

Trigger: Final chosen-framework revision publication

Timing: Within 180 days of publication; establish actual edition/date

Basis: NJ03 58:31-4.1(b)

Prepare: Current chosen framework, revised program/control mapping and NJCCIC receipt.

D06 Annual cyber certification and assessment filings

Sector / scope: Actual WQAA purveyor and current instructions

Trigger: Annual reporting cycle

Timing: DEP lists December 31; confirm current portal/form instructions

Basis: NJ03; NJ04

Prepare: Ownership-specific signer, controls assessment, current program and receipt.

D07 Planned treatment-change notification

Sector / scope: 7:10-2.4(a) qualifying change

Trigger: Actual planned change

Timing: Written notice at least 5 working days before change

Basis: NJ19 7:10-2.4(a)

Prepare: Operator/engineer consequence decision; schedule/notice and receipt.

D08 Asset plan and capital reporting

Sector / scope: Actual 7:10-6 scope

Trigger: Annual cycle; last plan certification; ownership/status change

Timing: March 15 annual capital report; plan certification every 3 years or ownership/status change

Basis: NJ19 7:10-6.3(c); -6.4(a)

Prepare: Qualified operator/engineer and responsible-individual signoffs; separately track annual compliance/training.

D09 Water-loss audit interface

Sector / scope: Actual applicable water-system scope

Trigger: Future operative provision and actual cycle

Timing: Rule specifies effective March 31, 2027; authenticate 7:19-6.4

Basis: NJ19 7:10-6.3(b)9

Prepare: Assign engineering/data owners; acquire current actual audit method and scope before stating a completion date.

D10 AWIA RRA and ERP cycle

Sector / scope: Community system over 3,300 people

Trigger: Actual RRA certification and prior review cycle

Timing: ERP certification within 6 months of actual RRA certification; review/update at least every 5 years

Basis: F01 AWIA Section 2013

Prepare: Actual population, full RRA/ERP scope and EPA certification receipts; not WQAA connection count.

D11 AMI reporting metrics

Sector / scope: 14:5-10 covered EDC

Trigger: Actual reporting period

Timing: Semiannual; obtain current specific filing instructions

Basis: NJ16 14:5-10.8

Prepare: Nine-metric crosswalk, monthly/history detail, current recipients and receipt; no invented filing day.

D12 AMI developer-impact notice

Sector / scope: Covered EDC technical change

Trigger: Planned adversely affecting technical change

Timing: 90 days before change unless necessary for immediate cyber concern/vulnerability

Basis: NJ16 14:5-10.7(b)

Prepare: Actual impact assessment, developer population, notice receipt or documented emergency basis.

D13 Supplier-freeze implementation

Sector / scope: Actual LDC/TPS scope

Trigger: Explicit adopted future applicability

Timing: 14:4-2.6(d) for requests on/after September 8, 2027; -2.6A states after September 8, 2027

Basis: NJ17 14:4-2.6(e); -2.6A(a)

Prepare: Resolve exact operative wording before edge-date cases; plan EDI, authorizations, exceptions and notices.

D14 TCPA compliance audit

Sector / scope: Actual TCPA Program 3 covered process

Trigger: Last actual covered annual audit

Timing: Every year; separate from OSHA PSM three-year interval

Basis: NJ20 7:31-4.1(c)13

Prepare: Full program, as-built/operated verification, actual participants/methods and corrective schedule.

D15 TCPA annual report

Sector / scope: Actual Program 3 owner/operator

Trigger: Approved consent/order anniversary

Timing: Within 90 days of anniversary, covering prior 12 months

Basis: NJ20 7:31-4.9

Prepare: Obtain signed approval/anniversary changes; report and required attachments/receipt.

D16 NJRMP resubmission and changes

Sector / scope: Actual TCPA scope

Trigger: Last full RMP; actual process/level/ownership change

Timing: At least every 5 years plus actual earlier event-driven requirements

Basis: NJ23; NJ20 7:31-7

Prepare: Separate resubmission from correction; actual state/federal chemicals and transfer approvals.

D17 Privacy rights and consent revocation

Sector / scope: Actual NJDPA covered processing

Trigger: Verified request, appeal or consent revocation receipt

Timing: Request/appeal 45-day duties; properly justified request extension 45 days; consent cessation no later than 15 days

Basis: NJ07 56:8-166.7; -166.12(a)6

Prepare: Separate clocks/notice requirements and actual response evidence; exceptions need actual statutory basis.

D18 Optional independent processor assessment route

Sector / scope: Actual NJDPA processor using alternative with controller consent

Trigger: Chosen permitted contractual assurance route

Timing: At least annually at processor expense when this alternative is selected

Basis: NJ07 56:8-166.16(e)4(b)(ii)

Prepare: Actual consent, qualified independent assessor, accepted criteria, report scope/period and access on request.

D19 Other program-specific calendars

Sector / scope: Actual NERC, NRC, TSA, maritime, permit/local/contract scope

Trigger: Actual governing instrument and qualifying facts

Timing: Unresolved until applicable current instrument and actual last/trigger dates are established

Basis: F02; F08 to F15; NJ-C37

Prepare: Create separate rows for every applicable clause, submission, review, training, test and notice; one exercise does not fulfill all duties.

D20 Anticipated bypass prior notice

Sector / scope: Actual NJPDES anticipated bypass

Trigger: Planned bypass date and actual conditions

Timing: At least 10 days before if possible; operator must determine exact applicable conditions

Basis: NJ24 7:14A-6.10(g)

Prepare: Necessity, alternatives, signed statements and current agency channel/receipt; no production bypass is authorized by this pack.

Unresolved questions and required information

The listed questions require documented resolution. A collection request is not a resolution. Record actual answer, current authority, facts, owner/date, evidence and resulting scope change.

NJ-Q01 Current BPU code and orders

Obtain current 14:3-6.7, relevant amendments, operative utility orders and entity jurisdiction determination.

Role / needed by: Compliance/legal / Before final criteria approval

Sources: NJ01; NJ02; NJ14; NJ15

NJ-Q02 WQAA guidance conflict

Obtain written DEP/NJCCIC clarification of 30-day summary versus prompt statute and threshold FAQ. Apply statutory prompt reporting in planning; no assumed grace period.

Role / needed by: Legal/incident lead / Before approving reporting tree

Sources: NJ03; NJ04

NJ-Q03 WQAA implementation and filings

Obtain current NJCCIC implementing requirements, portal instructions, actual framework/version/final publication dates, filing receipts and applicable insurance standards.

Role / needed by: Water compliance / Before certification support

Sources: NJ03; NJ04

NJ-Q04 Biannually

Obtain Board written interpretation of 2016 awareness-program review frequency. Document any interim frequency as management practice, not a legal conclusion.

Role / needed by: Utility compliance / Before closing training criterion

Sources: NJ01

NJ-Q05 Privacy codification

Enacted operational duties have been mapped. At engagement start authenticate later statutory changes and actual coverage; obtain any adopted 13:45L text/Register history. Official adoption index inspection found no privacy entry, which does not establish legal lapse or absence of adoption.

Role / needed by: Privacy counsel / Before privacy criteria sign-off

Sources: NJ06; NJ07; NJ09; NJ25; NJ15

NJ-Q06 Manufacturing operative criteria

State-rule copy and 2022 readoption were retrieved. Complete the current federal/state clause crosswalk and actual threshold/program/anniversary/consent evidence, including later Part 68 changes and stricter-state treatment; resolve NJ public-worker jurisdiction where relevant.

Role / needed by: Process safety/legal / Before manufacturing audit program approval

Sources: NJ20; NJ21; NJ22; NJ23; F06; F07

NJ-Q07 Wastewater operative criteria

Agency current NJPDES index and Chapter 6 copy are available. Obtain the actual complete permit/modifications and reconcile current reporting clauses, event categories, receiving-treatment-works and local conditions.

Role / needed by: Permit owner/legal / Before approving reporting tree

Sources: NJ12; NJ24

NJ-Q08 Controlled transportation criteria

Obtain operator-current TSA/aviation/rail/pipeline directives, approved security programs and amendments through authorized access; identify SSI handling and reviewers.

Role / needed by: Operator security lead / Before applicable transportation tests

Sources: F09; F10; F11

NJ-Q09 Maritime exact duty calendar

Confirm current rule/transition, actual MTSA scope, COTP directions and separate assessment/plan-audit/exercise/drill/test dates.

Role / needed by: CySO/legal / Before maritime program approval

Sources: F08

NJ-Q10 Local and bistate instruments

Collect actual municipal/county/authority/compact, permit, consent-order, franchise/contract, OEM/LEPC and insurance records. No universal local mandate is inferred.

Role / needed by: Facility/legal/PM / Before final facility scope approval

Sources: NJ05; NJ12; NJ13

NJ-Q11 Assurance basis and auditor appointment

Agree engagement standard/basis, competence, independence, intended users, regulator direction and GDF appointment before calling any work a statutory independent audit.

Role / needed by: Engagement sponsor/GDF / Before fieldwork

Sources: NJ03; F04; F05

NJ-Q12 Other actual federal/contract duties

Screen actual CIRCIA operative instruments, CMMC/contract clauses, nuclear/FERC or other specialized oversight if the facility facts indicate them. No current universal duty or exclusion is asserted by this pack.

Role / needed by: Sector legal/compliance / Before final criteria approval

Sources: F02; F07; F09

NJ-Q13 Native and accessibility acceptance

Validate actual Excel version, appended table workflow, printing and assistive technology with intended users; PDF is a reading copy, accessible HTML is supplied. No WCAG/PDF-UA/native Excel certification is claimed.

Role / needed by: PM/accessibility owner / Before distribution for field use

Sources: F04

NJ-Q14 AMI implementation instruments

Obtain the actual Board third-party eligibility order/list, current common form, utility-specific implementation and semiannual reporting instructions. Do not invent approved recipients, report filing day, revocation service level or PJM implementation date.

Role / needed by: EDC compliance/data owner / Before AMI criteria acceptance

Sources: NJ16

NJ-Q15 Environmental and water source reconciliation

Obtain later amendment histories, actual current permits/plans, Chapter 7:1E Appendices A/B and actual water-system/major-facility facts. Retrieved courtesy copies close the access gap but do not establish facility applicability or all-current codification.

Role / needed by: Environmental/water specialist / Before reporting and fieldwork approval

Sources: NJ18; NJ19; NJ24; NJ26

NJ-Q16 2027 implementation calendar

Verify applicable supplier-freeze date wording, actual PJM Order 2222 milestone and water-loss-audit scope. Assign implementation projects separately from currently due operational duties.

Role / needed by: PM and sector compliance / Before approving future-duty schedule

Sources: NJ16; NJ17; NJ19

NJ-Q17 DER/CIAP operative status and measurement

Authenticate Chapter 14:8 readoption/current status and later orders/tariffs. Reconcile the January 2026 publication printed February 27, 2026 expiration with later chapter amendments; obtain actual CIAP implementation and clarification of stated EST availability hours and measurement rules before findings.

Role / needed by: EDC compliance/legal and platform owner / Before CIAP criteria acceptance

Sources: NJ14; NJ27

Source register and authentication limits

Sources are official government/regulatory/standards-body materials. Agency guidance and technical references do not substitute for authenticated current operative instruments. URL access and search indexing do not establish complete substantive validation.

NJ01 BPU Cyber Security Program order AO16030196 (signed order)

<https://www.nj.gov/bpu/pdf/boardorders/2016/20160318/3-18-16-6AJD.pdf>

Locator: Requirements 1-5; Implementation; Accountability

Basis: Adopted March 18, 2016; effective March 28, 2016. Signed source retained; operative pages 1-8 visually read.

Limitation: Determine actual Board jurisdiction, later orders and current submission instructions. Historic personnel and implementation dates are not current contacts or new project deadlines.

NJ02 BPU 2022 readoption and adopted amendments

<https://www.nj.gov/bpu/pdf/rules/R.2022%20d.102%20%2854%20N.J.R.%201612%28a%29%29.pdf>

Locator: Adopted N.J.A.C. 14:3-6.7(a)6-7; distinguish final text from comments

Basis: Official adopted rule publication.

Limitation: Current consolidated 14:3 text and subsequent amendments still require reconciliation; do not treat this publication alone as complete current codification.

NJ03 Water Quality Accountability Act 2021 amendments, corrected Chapter 262

<https://www.nj.gov/dep/watersupply/pdf/water-cybersecurity.pdf>

Locator: N.J.S.A. 58:31-2, -4, -4.1, -4.2, -6 to -8

Basis: DEP-hosted enacted law; selected cybersecurity provisions read in full.

Limitation: Later amendments, implementing instruments and actual system facts require engagement-level currency review.

NJ04 DEP WQAA implementation page

<https://dep.nj.gov/watersupply/drinking-water-systems/water-quality-accountability-act/>

Locator: Deliverables; cybersecurity; FAQs

Basis: Agency implementation guidance, not a substitute for the statute.

Limitation: Its 30-day incident summary conflicts with statutory prompt reporting; its 500-or-more FAQ conflicts with more-than-500 statutory definition. Resolve in writing; do not adopt the longer incident window.

NJ05 Public agency and qualifying government contractor incident reporting

https://pub.njleg.state.nj.us/Bills/2022/PL23/19_.HTM

Locator: P.L. 2023 c.19; N.J.S.A. 52:17B-193.2 and -193.3

Basis: Enacted chapter; definitions and reporting provisions read.

Limitation: Confirm entity status, qualifying contractor access and current NJCCIC process. A supplier is not automatically a qualifying contractor.

NJ06 Identity Theft Prevention Act 2019 amendments

https://pub.njleg.state.nj.us/Bills/2018/PL19/95_.HTM

Locator: N.J.S.A. 56:8-161 and -163

Basis: Enacted chapter; breach definitions, sequence and exceptions read.

Limitation: Authenticate consolidated current law and later amendments before final legal opinion. No uniform 30-day resident-notice deadline is asserted here.

NJ07 New Jersey Data Privacy Act enacted chapter

https://pub.njleg.state.nj.us/Bills/2022/PL23/266_.HTM

Locator: 56:8-166.4 through -166.16; enacted rights, security, consent, assessments and processor provisions

Basis: Enacted P.L. 2023 c.266 read, including operational provisions; effective January 15, 2025.

Limitation: Authenticate later statutory amendments/current codification and rule status at engagement start. AMI precise-geolocation carve-out does not exempt all utility data; government/exempt processing decisions require actual legal/entity facts.

NJ08 NJ Consumer Affairs privacy-law FAQ

<https://www.njconsumeraffairs.gov/ocp/Pages/NJ-Data-Privacy-Law-FAQ.aspx>

Locator: Scope; rights; implementation dates

Basis: Agency explanatory guidance.

Limitation: FAQ is not operative rule text. Check current adoption and enforcement instructions; do not rely on a proposed rule as final.

NJ09 NJ Consumer Affairs proposals

<https://www.njconsumeraffairs.gov/Proposals>

Locator: Privacy-rule status and adoption follow-up

Basis: Official proposal listing inspected in browser on October 16, 2026; June 2, 2025 proposal and August 4, 2025 comment extension remain listed.

Limitation: No final-rule adoption was authenticated. See NJ25 for the adoption-index check. Do not derive a legal lapse or final-rule duties from a closed comment period.

NJ10 DEP Toxic Catastrophe Prevention Act program

<https://dep.nj.gov/brp/tcpa/>

Locator: N.J.S.A. 13:1K-19 et seq.; N.J.A.C. 7:31; compliance audit guidance

Basis: Official agency program text reviewed, including annual compliance audit, final-report and ownership-transfer guidance.

Limitation: Selected state clauses and readoption are now available at NJ20/NJ21. Current federal incorporation, facility status and full-program scope still require reconciliation. Checklist alone is not the final audit report.

NJ11 DEP TCPA rule index

https://dep.nj.gov/rules/current-rules-and-regulations/njac7_31-2/

Locator: N.J.A.C. 7:31 and incorporated 40 CFR Part 68

Basis: Rule index inspected in browser and its full state-rule courtesy PDF retrieved; see NJ20.

Limitation: The retrieved state copy is dated 2016. Use the readoption and current federal/state incorporation crosswalk before final criteria approval.

NJ12 DEP wastewater emergency response guidance

<https://dep.nj.gov/dwq/erp/>

Locator: N.J.A.C. 7:14A-6.10; actual NJPDES permit

Basis: Agency guidance distinguishes two-hour and 24-hour reporting categories.

Limitation: Authenticate rule and permit; categorize actual discharge/noncompliance. These are not universal cyber-event reporting clocks.

NJ13 NJDOT subject-matter offices

https://www.nj.gov/transportation/capital/pd/sme_cpm.shtm

Locator: Office of Fixed Guideway Safety and Security Oversight

Basis: Official agency identifies the state safety oversight function.

Limitation: Obtain current operator-specific program standard, orders and interfaces; a staff directory is not the governing audit standard.

NJ14 BPU current rule index

<https://www.nj.gov/bpu/agenda/rules/>

Locator: Chapter 14:3 readoptions and amendment history

Basis: Official index points to OAL/Lexis and later adopted amendments.

Limitation: Index is not the full operative code; reconcile relevant amendments and entity-specific orders.

NJ15 OAL public code access

<https://www.nj.gov/oal/rules/accesssp/>

Locator: Public Administrative Code and New Jersey Register access

Basis: OAL identifies the free public code as unofficial and updated semi-monthly. Current operative text and update history require separate authentication.

Limitation: Current codified text was not authenticated through this route. Obtain authorized current text plus update history; do not label access gaps resolved.

F01 EPA AWIA Section 2013

<https://www.epa.gov/waterresilience/awia-section-2013>

Locator: Risk and resilience assessment and emergency response plan

Basis: Federal program: community water systems serving more than 3,300 people; six-month ERP interval follows actual RRA certification.

Limitation: Verify population, certification receipts, review cycle and entire statutory RRA scope. Wastewater-only service is not automatic coverage.

F02 NERC reliability standards

<https://www.nerc.com/standards/reliability-standards/cip>

Locator: Current applicable CIP versions, implementation plans and registration

Basis: Official standards source.

Limitation: Actual registration, functions, BES cyber categorization, control-center screening and effective versions are needed. Retail utility status alone does not establish CIP coverage.

F03 NIST SP 800-82 Rev. 3

<https://csrc.nist.gov/pubs/sp/800/82/r3/final>

Locator: Guide to Operational Technology Security

Basis: Final technical guidance.

Limitation: Voluntary unless adopted by a binding instrument. Rev. 4 draft is not a final replacement. SP 800-82 is not the CSF named by WQAA.

F04 NIST SP 800-115

<https://csrc.nist.gov/pubs/sp/800/115/final>

Locator: Assessment planning, rules of engagement and reporting

Basis: Technical assessment guidance.

Limitation: Does not authorize production testing; approved site-specific methods and safety boundaries remain necessary.

F05 NIST SP 800-53A Rev. 5

<https://csrc.nist.gov/pubs/sp/800/53/a/r5/final>

Locator: Examine, interview and test assessment methods

Basis: Assessment-method reference.

Limitation: Not a universal legal mandate or complete sector audit program. Tailor objectives and depth/coverage with the engagement criteria.

F06 OSHA process safety management

<https://www.osha.gov/laws-regs/regulations/standardnumber/1910/1910.119>

Locator: 1910.119 applicability; management of change; (o) compliance audits

Basis: Federal safety rule; three-year audit requirement when applicable.

Limitation: Coverage and New Jersey public-worker jurisdiction must be determined. PSM is not a general cybersecurity certification; NJ TCPA annual cycle is separate.

F07 EPA risk management rule

<https://www.ecfr.gov/current/title-40/chapter-I/subchapter-C/part-68>

Locator: Current Part 68, covered processes and applicable amendments

Basis: Official federal eCFR route.

Limitation: Current effective and compliance dates, program level, chemical inventory and NJ incorporation must be authenticated for the facility.

F08 Coast Guard maritime cybersecurity

<https://www.ecfr.gov/current/title-33/chapter-I/subchapter-H/part-101/subpart-F>

Locator: 33 CFR Part 101 Subpart F

Basis: Federal maritime cybersecurity framework.

Limitation: Confirm MTSA facility/vessel/OCS status, transition dates, approved plans and COTP instructions. Assessment, plan audit, drill, exercise and penetration test are different duties.

F09 TSA surface cybersecurity resources

<https://www.tsa.gov/for-industry/surface-transportation>

Locator: Rail and pipeline current operator instruments

Basis: Official agency entry point.

Limitation: Publicly available old directives are not proof of the operator current version; obtain controlled current directives, amendments, approved implementation plans and authorized access. SSI must remain in approved repositories.

F10 FAA airport certification rules

<https://www.ecfr.gov/current/title-14/chapter-I/subchapter-G/part-139>

Locator: Actual airport certificate and operational conditions

Basis: Official federal airport operations rule route.

Limitation: Part 139 is not a universal OT cybersecurity audit mandate. Obtain current TSA airport/operator security requirements and approved program through authorized channels.

F11 FTA state safety oversight rules

<https://www.ecfr.gov/current/title-49/subtitle-B/chapter-VI/part-674>

Locator: Rail fixed guideway state safety oversight

Basis: Federal oversight framework.

Limitation: FRA/FTA jurisdiction and actual state/operator standards are separate determinations. Obtain operator-specific current instruments.

F12 NIST Cybersecurity Framework 2.0

<https://www.nist.gov/cyberframework>

Locator: Final CSF; chosen framework and organizational profile

Basis: Voluntary framework, with potential adoption by WQAA or other binding instruments.

Limitation: Retain the actual chosen final publication/version/date and mapping; an assertion of NIST alignment is not a requirement-by-requirement audit result.

F13 NRC digital systems cybersecurity rule

<https://www.ecfr.gov/current/title-10/chapter-I/part-73/subpart-F/section-73.54>

Locator: 10 CFR 73.54; incorporated 73.55(m) review and 73.77 notifications

Basis: Selected operative cybersecurity section read through federal eCFR; eCFR is authoritative but unofficial.

Limitation: Obtain actual license, exemptions/orders and approved cybersecurity plan, specialized review/notification criteria and authorized information access. Nuclear status alone is not an applicability decision.

F14 PHMSA gas pipeline control room management

<https://www.ecfr.gov/current/title-49/subtitle-B/chapter-I/subchapter-D/part-192/subpart-L/section-192.631>

Locator: 49 CFR 192.631(a)-(j); incorporated standards in 192.7

Basis: Selected full section read through federal eCFR; scope includes an important limited-procedure exception.

Limitation: Determine actual SCADA/controller/control-room operation, services and compressor facts. Obtain current licensed incorporated standards and full pipeline safety program; this is not a whole Part 192 audit.

F15 PHMSA hazardous liquid pipeline control room management

<https://www.ecfr.gov/current/title-49/subtitle-B/chapter-I/subchapter-D/part-195/subpart-F/section-195.446>

Locator: 49 CFR 195.446; incorporated standards in 195.3

Basis: Federal eCFR selected control-room management section.

Limitation: Determine actual hazardous-liquid operator and SCADA/controller scope. Do not import the gas distribution exception into this rule; whole Part 195 safety coverage remains separate.

NJ16 AMI data access adopted rules, R.2026 d.085

[https://www.nj.gov/bpu/pdf/rules/R.2026%20d.085%20\(58%20N.J.R.%201845\(a\)\).pdf](https://www.nj.gov/bpu/pdf/rules/R.2026%20d.085%20(58%20N.J.R.%201845(a)).pdf)

Locator: 14:5-10.1 through -10.8; final adopted text, Register pages 1860-1861

Basis: Adopted June 30, 2026; effective August 3, 2026. Final operative text read, including changes from proposal.

Limitation: Applies to the defined EDC/AMI context, with particular duties on third parties. Obtain subsequent Board eligibility order/list and actual implementation instructions. Do not substitute the proposal four-hour notice or quarterly metrics for the adopted 48-hour and semiannual provisions.

NJ17 Energy competition adopted amendments, R.2026 d.102

[https://www.nj.gov/bpu/pdf/rules/R.2026%20d.102%20\(58%20N.J.R.%202169\(a\)\).pdf](https://www.nj.gov/bpu/pdf/rules/R.2026%20d.102%20(58%20N.J.R.%202169(a)).pdf)

Locator: 14:4-2.6(d)-(e), -2.6A, -7.14; final adopted text

Basis: September 21, 2026 Register, 58 N.J.R. 2169(a); selected operative amendments read.

Limitation: Readoption and amendment effective dates differ. The supplier-freeze provisions specify September 8, 2027 applicability; do not mark their absence a current October 2026 violation. Customer/billing controls are an IT/OT data-integrity interface, not a universal SCADA audit mandate.

NJ18 DEP DPHS rule courtesy copy, January 20, 2026

<https://dep.nj.gov/wp-content/uploads/brp/dphs/njac-7-1e-1.20.26.pdf>

Locator: 7:1E-1.6, -2, -3, -4, -5.3 through -5.8

Basis: Downloaded from current DEP program link; selected scope, discharge, detection-malfunction and follow-up sections read.

Limitation: Courtesy copy excludes Appendices A/B; obtain those separately and reconcile later amendments. The 2026 transformer/motor-vehicle exceptions differ from older copies. Do not import the older general small-spill exception or treat the major-facility threshold as a reporting exemption.

NJ19 DEP Safe Drinking Water Act rule courtesy copy

https://dep.nj.gov/wp-content/uploads/rules/rules/njac7_10.pdf

Locator: 7:10-2.4; -6.1 through -6.4; selected operative sections

Basis: Courtesy copy states last amended January 20, 2026; selected sections read.

Limitation: Chapter 7:10 public-community-system operations/asset provisions need their own scope decision; do not assume the WQAA more-than-500 connection screen limits every Chapter 7:10 duty. Engineering and operator work requires qualified specialists.

NJ20 DEP TCPA state-rule courtesy copy

https://dep.nj.gov/wp-content/uploads/rules/rules/njac7_31.pdf

Locator: 7:31-1.1; -4.1(c)13,14,23; -4.9; -7.4

Basis: Downloaded through current DEP rule index; selected clauses read. Copy itself is dated February 1, 2016.

Limitation: State text must be read with the 2022 readoption, current incorporated federal Part 68 and stricter state modifications. This older state copy is not a fully consolidated current federal/state rulebook.

NJ21 TCPA notice of readoption

<https://dep.nj.gov/wp-content/uploads/rules/readopt/readopt-20221107b.pdf>

Locator: Readoption notice, both pages

Basis: Notice states effective September 24, 2022 and expiration September 24, 2029; November 7, 2022 Register publication.

Limitation: Notice explains future federal incorporation except conflicting less stringent rules. It is not a newly consolidated full rule and does not itself settle each current federal compliance date.

NJ22 DEP release-prevention program updates

<https://dep.nj.gov/brp/program-updates/>

Locator: March 2024 TCPA update; April/September 2026 DPHS updates

Basis: Agency implementation guidance reviewed October 16, 2026.

Limitation: Agency says 2024 Part 68 amendments are incorporated and consolidated document is being updated. Later federal changes and state-conflict treatment still require clause-level reconciliation. June 1, 2026 online DPCC/DCR submission instruction applies to covered plan submissions, not every industrial facility.

NJ23 DEP NJ Risk Management Plan instructions

<https://dep.nj.gov/brp/tcpa/rmp/>

Locator: Resubmission, correction and ownership instructions; 7:31-7.1(c)5,11

Basis: Agency guidance distinguishes five-year full resubmission from event-driven changes and corrections.

Limitation: Record actual covered processes, state-only chemicals, submission anniversary, changes and consent agreements. Federal RMP and NJRMP contents/destinations are not identical.

NJ24 DEP NJPDES conditions applicable to all permits

https://dep.nj.gov/wp-content/uploads/dwq/pdf/n.j.a.c/njac714a_sub06.pdf

Locator: 7:14A-6.10; actual permit and modifications

Basis: Current agency NJPDES index and its scanned Chapter 6 courtesy copy retrieved; 7:14A-6.10(a)-(j), PDF pages 14-18, visually read.

Limitation: Reconcile subsequent rule history and actual permit/modifications. Different categories use two-hour, 24-hour, advance and written follow-up duties. Historic postal information in the copy must be checked against current agency submission instructions.

NJ25 Consumer Affairs adoption index

<https://www.njconsumeraffairs.gov/Pages/adoptions.aspx>

Locator: Adoption listing reviewed October 16, 2026; newest visible entry October 5, 2026

Basis: Official proposals and adoptions pages inspected in browser; no 13:45L/Data Privacy adoption entry was found in the inspected listing.

Limitation: Absence from the index is not proof no adoption exists or that a proposal legally lapsed. Rule adoption/current codification remains unverified. Enacted NJDPA duties are treated separately and are not suspended by this research gap.

NJ26 DEP DPHS program and current appendices

<https://dep.nj.gov/brp/dphs/>

Locator: Scope; current rules, Appendix A/B and 2026 plan instructions

Basis: Current agency program page read; last update September 23, 2026.

Limitation: Verify storage-capacity method, regulated substances, exemptions, facility status and actual approved plans. Program summaries do not replace the rule or specialist plan certification.

NJ27 DER interconnection and CIAP adopted amendment

[https://www.nj.gov/bpu/pdf/rules/R.2026%20d.010%20\(58%20N.J.R.%2072\(a\)\).pdf](https://www.nj.gov/bpu/pdf/rules/R.2026%20d.010%20(58%20N.J.R.%2072(a)).pdf)

Locator: 14:8-5.13(d); selected -5.8 and -5.11; final text Register pages 98-99

Basis: Adopted November 21, 2025; effective January 5, 2026. Selected final clauses read.

Limitation: Publication prints Chapter 14:8 expiration February 27, 2026; the BPU index also lists later June 2026 amendments with a 2032 expiration. Current chapter readoption/status, operative tariffs, CIAP implementation and later orders require reconciliation before declaring a current violation. Proposal text alone is not used as final criteria.

Release and professional acceptance

This NJ set is a planning edition. All local/permit criteria, entity determinations, restricted/current operator instruments, source conflicts and formal assurance conditions require the recorded follow-up. Actual scope and conclusions require qualified review.

Unprotected tables provide an explicit extension path. Actual examiner and collector fields are separate from owner, custodian and reviewer. No automated evidence-quality, date-quality, completeness or compliance checker is provided. Apply P43 and record reviewer decisions.

Native Excel, actual printer behavior, assistive-technology workflows, full WCAG/PDF-UA conformance, complete local-law inventory and regulatory acceptance are unverified. The companion HTML is the reading alternative to this PDF. A real audit must state its actual criteria, scope, methods, evidence, findings and limits.